# Lecture 4

Basic Nuclear Physics – 2

Nuclear Stability and the Shell Model

# Nuclear Stability

A necessary condition for nuclear stability is that, for a collection of "A" nucleons, there exists no more tightly bound aggregate.

E. g., a single <sup>8</sup>Be nucleus. Though it has finite binding energy, (56.4995 MeV), has less binding energy than two <sup>4</sup>He nuclei (2 \* 28.2957 = 56.591), hence <sup>8</sup>Be quickly (6.7 x 10<sup>-17</sup> s) splits into two heliums (i.e. two alpha particles).

2<sup>4</sup>He

- An equivalent statement is that the nucleus <sup>A</sup>Z is stable if there is no collection of A nucleons that weighs less.
- However, one must take care in applying this criterion, because while unstable, some nuclei live a very long time. An operational definition of "stable" is that the isotope has a measurable abundance and no decay has ever been observed (ultimately all nuclei heavier than the iron group are unstable, but it takes almost forever for them to decay). One must also include any lepton masses emitted or absorbed in a weak decay.

Most collections of nucleons have positive binding energy, i.e., are temporarily bound, but a nucleus is still considered "unbound" if it can gain binding by ejecting a neutron or proton. or ion (like <sup>4</sup>He). If energetically feasible, this ejection occurs on a very short time scale (e.g. <sup>5</sup>Li  $3 \times 10^{-22}$  s).

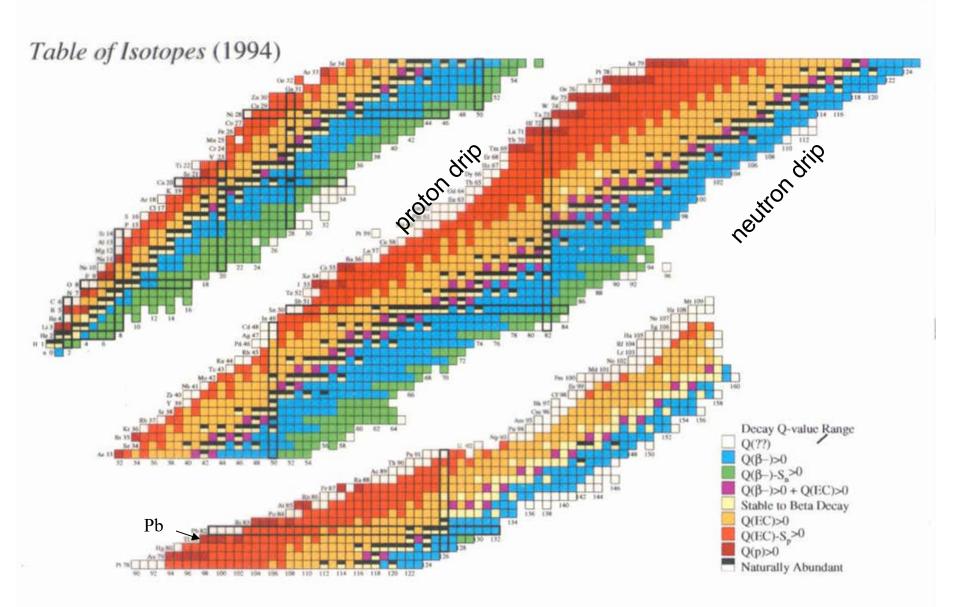
The neutron and proton "drip lines" are defined by

$$\begin{array}{ll} \mathrm{BE}(^{\mathrm{A}+1}\mathrm{Z}) < \mathrm{BE}(^{\mathrm{A}}\mathrm{Z}) & \mathrm{S}_n < 0 \\ \mathrm{BE}(^{\mathrm{A}+1}\mathrm{Z}) < \mathrm{BE}(^{\mathrm{A}}\mathrm{Z}\text{-}1) & \mathrm{S}_p < 0 \end{array}$$

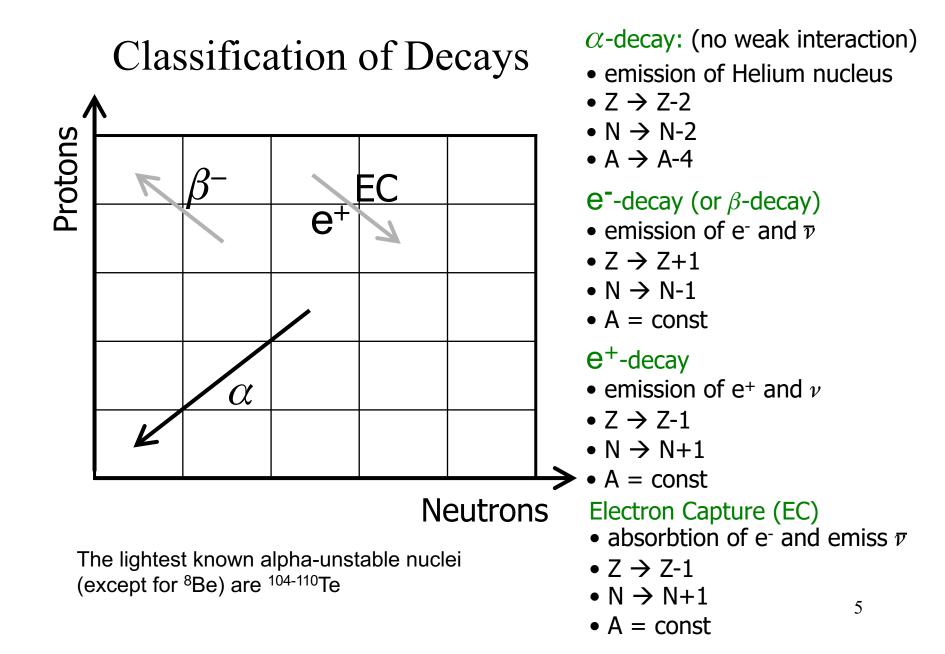
Note that by definition

$$BE(n) = BE(p) = 0$$

Even a nucleus that is bound is usually *unstable* to weak decay or alpha-decay.



Only the half black squares are stable nuclei, all the squares are bound but most are unstable



Examples:

<sup>2</sup> He - 0	diproton - BE < 0	unbound	(~700 keV)
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<sup>3</sup>He BE = 7.718 MeV stable  $^{4}$ He 28.296 stable

$$\mathsf{BE}(\mathsf{n}) = \mathsf{BE}(\mathsf{p}) = 0$$

<sup>5</sup>He 27.56 unstable n-emission 7.6 
$$\times 10^{-22}$$
 s

<sup>7</sup>He 28.86 unstable n-emission 
$$3 \times 10^{-21}$$
 s

26.33 unstable p-emission 
$$\rightarrow^4$$
 He + p in 3  $\times 10^{-22}$  s

56.50 (barely) unbound - decays to 2 <sup>4</sup>He in 6.7 
$$\times 10^{-17}$$
 sec

etc

<sup>8</sup>Be

5

The difference in binding energies for reactions other than weak interactions is also the "Q-value for the reaction" e.g.  ${}^{3}\text{He}(n,\gamma){}^{4}\text{He}$  Q= 20.56 MeV Energy can often be released by adding nucleons or other nuclei to produce a more tightly bound product:

$$\begin{array}{rcl} {\rm BE}(^{56}{\rm Fe}) &=& 492.247 \; {\rm MeV} \\ {\rm BE}(^{57}{\rm Fe}) &=& 499.893 \; {\rm MeV} \\ {\rm Q}_{\rm n\gamma}(^{56}{\rm Fe}) &=& 7.646 \; {\rm MeV} \end{array}$$

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The reaction  ${}^{56}\text{Fe}(n,\gamma){}^{57}\text{Fe}$  provides 7.646 MeV of kinetic energy and radiation. To go the other way,  ${}^{57}\text{Fe}(\gamma,n){}^{56}\text{Fe}$ , would require 7.646 MeV. The locus of nuclei with  $Qn\gamma = 0$  is known as the "neutron-drip line". Similarly  $Qp\gamma = 0$  defines the "proton=drip line".

The criterion for weak decay is a little more complicated because of the mass difference between the neutron and proton and because electrons or positrons may be created or destroyed.

The mass of the *neutral* atom, defined as the "atomic mass' can be written

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For Fe the
neutron drip line
is found at A = 73;
the proton drip is
at A = 45.
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Nuclei from <sup>46</sup>Fe to <sup>72</sup>Fe are stable against strong decay but only four <sup>54,56,57,58</sup>Fe are stable against weak decay.

nuclear part (but 
$$m_{\rm H}$$
 contains e<sup>-</sup>)  

$$M(^{A}Z) = Z m_{H} + N m_{n} - BE(^{A}Z) /c^{2} - + [15.73 Z^{5/3} \text{ eV} - Z(13.6 \text{ eV})]/c^{2}$$
electronic binding energy

where  $m_H$  is the mass of the neutral hydrogen atom (including  $m_e$ ),  $m_n$  is the mass of the neutron, and the term in the brackets is an approximation to the difference in *electronic* binding energy. The  $Z^{5/3}$  term is a Thomas-Fermi approximation to the total binding energy of Z electrons and the Z(13.6) eV term is clearly the electronic binding energy of Z hydrogen atoms. Usually the term in the brackets is negligible and neglected. More commonly used is the *Atomic Mass Excess* 

(15.99491

= - 6

$$1 \text{ amu} = 1/12 \text{ the mass of the neutral}^{12}\text{C atom} \qquad 6p, 6n, 6e \text{ in the atom} \\ = 931.494 \text{ MeV/c}^2 \\ m_p = 1.00727647 \text{ amu} \\ m_n = 1.008665012 \text{ amu} \\ m_H = 1.007825037 \text{ amu} \quad i.e., m_p + 0.511/931.494 \\ 1^6O = 15.94915 \text{ amu} \\ 1^2C = 12.00000 \text{ amu} \qquad 15.994915 \text{ amu} \\ 1^2C = 12.00000 \text{ amu} \qquad 15.994915 \text{ amu} \\ 1^2C = 12.00000 \text{ amu} \qquad 15.994915 \text{ amu} \\ 1^2C = 12.00000 \text{ amu} \qquad 15.994915 \text{ amu} \\ 1^2C = 12.00000 \text{ amu} \qquad 15.994915 \text{ amu} \\ 1^2C = 12.00000 \text{ amu} \qquad 10^2C \text{ mass excess} \\ = 931.494 \text{ MeV}[M(^AZ) - A] \qquad \text{or } M(^AZ) = A + \frac{A}{931.494} \text{ amu's} \\ A \text{ is an integer} \\ A \text{ is an integer} \\ A \text{ is an integer} \\ The mass excess of  $^{12}\text{C}$  is obviously zero. The mass excess of  $^{16}\text{O}$  is -4.737 MeV. That is the neutral  $^{16}\text{O}$  atom weighs less than 16 times  $1/12$  of the neutral  $^{12}\text{C}$  atom. This automatically includes the electron masses excess of the electron masses excess electron electron$$

Wilhelm Ostwald suggested O as the standard in 1912 (before isotopes were known) In 1961 the carbon-12 standard was adopted. O was not really pure <sup>16</sup>O

#### Nuclear Wallet Cards

Nι	Nuclide Δ T½, Γ, or						
$\mathbf{Z}$	El	Α	Jπ	(MeV)	Abundance	Decay Mode	
0	n	1	1/2+	8.071	10.24 m 2	β-	
1	н	1	1/2 +	7.289	99.985%1		
		2	1+	13.136	0.015% 1		
		3	1/2 +	14.950	12.32 y 2	β–	
		4	2-	25.9	4.6 MeV <i>9</i>	n	
		5		32.9	5.7 MeV 21	n	
		6	(2-)	41.9	1.6 MeV 4	n	
		7		49s	29×10 <sup>-23</sup> y 7		
<b>2</b>	Нe	3	1/2 +	14.931	0.000137%3		
		4	0+	2.425	99.999863% 3		
		5	3/2-	11.39	0.60 MeV 2	α, n	
		6 7	0+ (3/2)-	17.595	806.7 ms <i>15</i> 150 keV 2 <i>0</i>	β-	
		8	(5/2)-	26.10 31.598	119.0 ms <i>15</i>	n β-, β-n 16%	
		9	(1/2-)	40.94	65 keV 37	p-, p-n 1000 n	
		10	0+	48.81	0.17 MeV 11	2n?	
3	Li	3		29s	unstable	p?	
0	ы	4	2-	25.3	6.03 MeV	р. р	
		5	3/2-	11.68	≓1.5 MeV	α, p	
		6	1+	14.087	7.59% 4		
		7	3/2-	14.908	92.41% 4		
		8	2+	20.947	838 ms 6	β-,β-α	
		9	3/2-	24.954	178.3 ms 4	β-,β-n50.8%	
		10	(1-,2-)	33.05	1.2 MeV 3	n 0. 0	
		11	3/2-	40.80	8.59 ms <i>14</i>	$\beta$ -, $\beta$ -n $\alpha$ 0.027%,	
		12		50.1s	<10 ns	β–n n?	
	-						
4	Be	5	(1/2+)	38s 10 077	?	p	
		6 7	0+ 3/2-	18.375 15.770	92 keV <i>6</i> 53.22 d <i>6</i>	ρ,α ε	
		8	0+	4.942	6.8 eV 17	α	
		9	3/2-	11.348	100%		
		10	0+	12.607	1.51×10 <sup>6</sup> y 6	β–	
		11	1/2 +	20.174	13.81 s <i>8</i>	β—,β—α3.1%	
		12	0+	25.08	21.49 ms 3	β−,β−n≤1%	
		13	(1/2-)	33.25	$2.7 \times 10^{-21}$ s 18	n	
		14	0+	40.0	4.84 ms <i>10</i>	β-, β-n 94%, β-2n 6%	
		15		49.8s	<200 ns	n?	
		16	0+	57.7s	<200 ns	2n?	
5	в	6		43.6s	unstable	2p?	
		7	(3/2-)	27.87	1.4 MeV 2	p,α	
		8	2+	22.921	770 ms <i>3</i>	ε, εα	
		9	3/2-	12.416	0.54 keV 2 <i>1</i>	р,	
		10	3 +	12.051	19.8% 3		
		11	3/2-	8.668	80.2% 3		
		$12_{10}$	1+	13.369 10 509	20.20 ms 2	β—,β—3α1.58%	
		19 14	3/2- 2-	16.562 23.66	17.33 ms <i>17</i> 12.5 ms <i>5</i>	β-	
		$14 \\ 15$	4-	23.66 28.97	9.93 ms 7	β-,β-n6.04% β-,β-n93.6%,	
		10		20.01	0,00 1110 /	$\beta = 2n0.4\%$	
						P 24 01 370	

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### https://www.nndc.bnl.gov/wallet/

The binding energy (MeV) is given in terms of the mass excess by the previous definition of mass excess (neglecting electronic binding energy) and the definition of the binding energy

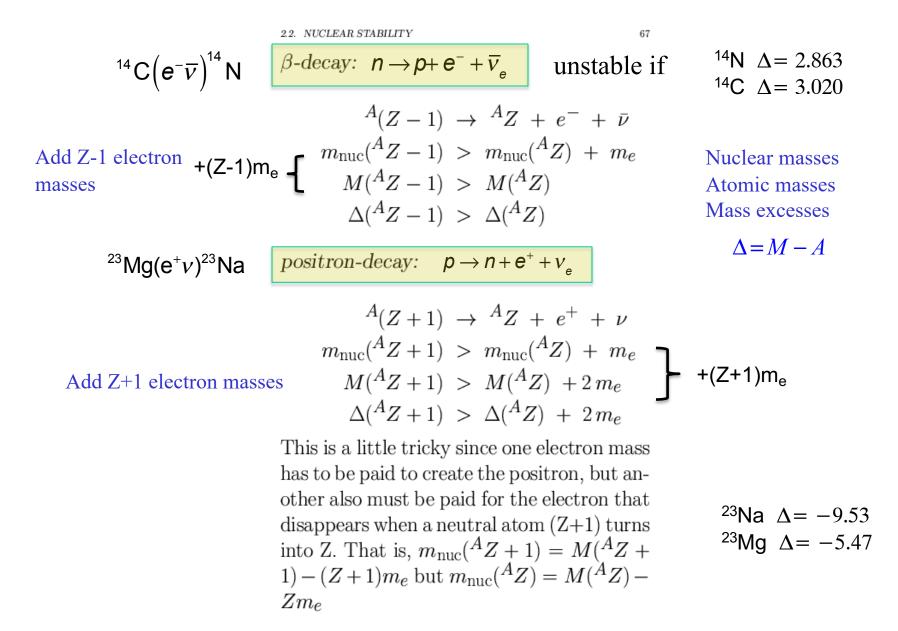
$$\frac{BE}{c^2} = Z m_{H} + N m_n - M(^A Z)$$
  
include electron mass but  
neglect electron binding energy  
$$M(^A Z) = A + \frac{\Delta}{931.494} \text{ amu's}$$
  
$$\frac{BE(MeV)}{931.494} = Z (1.007825 \text{ amu}) + N (1.008649 \text{ amu}) - Z - N - \frac{\Delta(^A Z)}{931.494}$$
  
$$= Z (0.007825 \text{ amu}) + N(0.008649 \text{ amu}) - \frac{\Delta(^A Z)}{931.494}$$

$$BE = Z \Delta_{\rm H} + N \Delta_n - \Delta(^{\rm A}Z)$$

.007825 \* 931.494 = 7.2889

where  $\Delta_{\rm H} = 7.288969 \text{ MeV} = \text{mass excess of H}$  in amu × 931.494 MeV  $\Delta_{\rm n} = 8.071323 \text{ MeV} = \text{mass excess of n}$  in amu × 931.494 MeV eg. <sup>4</sup>He  $\Delta = +2.425$  Audi and Wapstra, Nuc. Phys A., 595, 409 (1995) BE = 2(8.07132)+2(7.2889) - 2.425 <u>http://t2.lanl.gov/nis/data/astro/molnix96/massd.html</u> = 29.296 MeV

### WEAK DECAY



<sup>7</sup>Be  $(e^{-},v)^{7}$ Li <sup>7</sup>Be  $\Delta = 15.768$ <sup>7</sup>Li  $\Delta = 14.907$  68

#### Add Z electrons

Also possible at high T

 $e^+ + n \rightarrow p + \overline{v}_e$ 

positron capture

At high density even "stable" nuclei capture electrons

electron capture: 
$$p + e^- \rightarrow n + v_e$$
  

$$\begin{array}{c} A(Z+1) + e^- \rightarrow AZ + x \otimes \overline{x}x + \nu \\ m_e + m_{nuc}(^AZ \pm 1) > m_{nuc}(^AZ) \\ M(^AZ \pm 1) > M(^AZ) \\ \Delta(^AZ \pm 1) > \Delta(^AZ) \end{array} \xrightarrow{} + Zm_e$$

These decays may proceed to excited states of the daughter nucleus in which case one or more  $\Gamma$ -rays will be emitted. This is the basis for  $\gamma$ -ray line astronomy.

An example of weak instability

7 N $\wedge (M_{-}M)$		$\Delta$	Z	Ν
$\mathbf{Z} \ \mathbf{N} \ \Delta(\text{MeV})$	<sup>13</sup> B	16.562	5	8
$^{13}C$ 6 7 3 125	<sup>13</sup> C	3.125	6	7
<sup>13</sup> N 7 6 5.345	<sup>13</sup> N	5.345	7	7
<sup>13</sup> B 5 8 16.562	<sup>13</sup> O	23.114	8	5

The "Q-value", or energy carried away by the products, is just the difference in the mass excesses, adjusted in the case of positron-

#### The energy released in the decay

22. NUCLEAR STABILITY emission by  $2m_ec^2$ .  $= \Delta(^AZ) - \Delta(^AZ - 1) \qquad e - decay$   $Q_{decay} = \Delta(^AZ + 1) - \Delta(^AZ) - 2m_e \qquad e^+ - decay$   $= \Delta(^AZ + 1) - \Delta(^AZ) \qquad e - capture$ 

For example:

 $^{13}N(e^+\nu)^{13}C$   $Q_{\beta^+} = 1.20 \text{ MeV}$ 

 $-2m_ec^2$ where 1.20 = 5.345 - 3.125. Note in the same example, that for electron capture the Qvalue would be  $Q_{ec} = 2.22$  MeV, i.e.,  $2m_ec^2$ larger. Also, 16.562 - 3.125 = 13.437, and

 $2m_e c^2 = 1.02 \text{ MeV}$ 

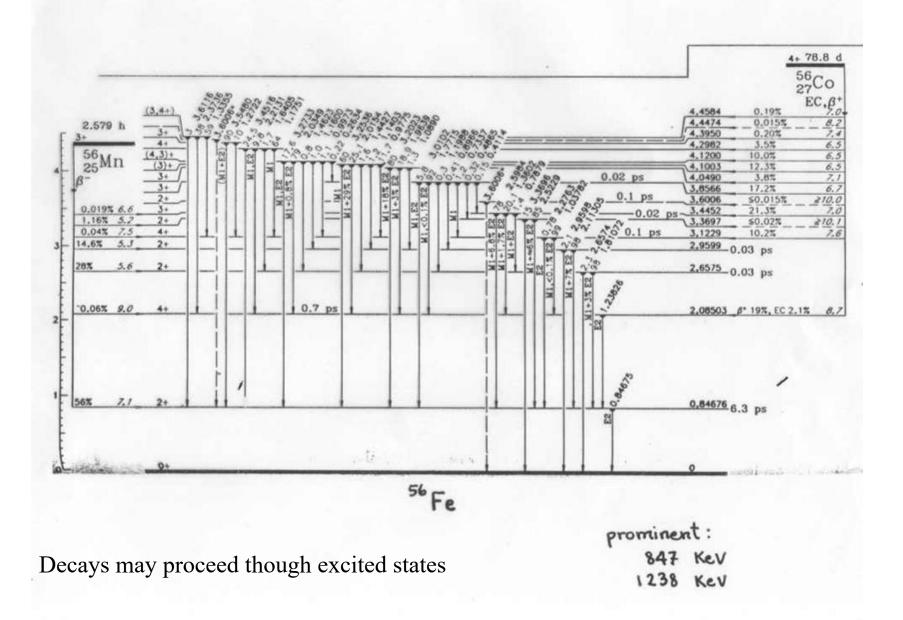
$$^{13}B(e^-\nu)^{13}C$$
  $Q_\beta = 13.437 \text{ MeV}$ 

Frequently nuclei are unstable to both electron-capture and positron emission.

# Example: $p(p,e^+\nu)^2H$

Mass excess 2  $^{1}$ H = 2 x 7.289 MeV = 14.578 MeV Mass excess  $^{2}H = 13.136$  MeV. This is a smaller number so the diproton is unstable to weak decay. The Q value is given by 14.578 -13.136 = 1.442 MeV  $-2m_{a}c^{2} = 0.420 \text{ MeV}$ but the electron and positron annihilate and so we get the  $2m_c^2$  back and the reaction yields 1.442 MeV

But the neutrino carries away a variable amount of energy that averages to 0.262 MeV so really only deposit 1.18 MeV of energy locally



In terms of binding energy  

$$Q_{\beta} = BE(^{A}Z + 1) - BE(^{A}Z) + 0.782 \text{ MeV}$$
  
 $Q_{e^{+}} = BE(^{A}Z - 1) - BE(^{A}Z) - 1.804 \text{ MeV}$   
 $Q_{ec} = BE(^{A}Z - 1) - BE(^{A}Z) - 0.782 \text{ MeV}$ 

Another example, pick out the stable isotopes:

<u>Nucleus</u>	$\Delta$
$^{40}Cl$	-27.54
$^{40}\mathrm{Ar}$	-35.04
$^{40}$ K	-33.54
$^{40}Ca$	-34.85
$^{40}Sc$	-20.53

The ones with the bigger (less negative) mass excesses are unstable.

 $^{40}$ Cl and  $^{40}$ Sc are obviously unstable.  $^{40}$ K can decay either to  $^{40}$ Ar (10.7%) or to  $^{40}$ Ca (89.3%), but both  $^{40}$ Ar and  $^{40}$ Ca are stable,

How many stable isotopes are there for each A? Recall the mass formula

$$BE(^{A}Z) = a_{1}A - a_{2}A^{2/3} - a_{3}\frac{Z^{2}}{A^{1/3}} - a_{4}\frac{(A - 2Z)^{2}}{A} \pm \delta(A)$$

neglecting shell corrections

We previously solved for  $Z_{\text{stable}}$  such that the partial of BE with respect to Z at constant A was zero

$$Z_{\text{stable}} = \frac{2a_4A}{a_3A^{2/3} + 4a_4}$$

A little algebra (omitted here) shows that if A= constant and  $\delta = 0$  (i.e., A is odd), then the differences in binding energy for two nuclei, one having arbitrary Z and the other having  $Z_{\text{stable}}$  will be parabolic in Z

 $\Delta BE (\text{odd A}) = \text{const} (Z - Z_{\text{stable}})^2$  $\text{const} = -\frac{4a_4}{A} - \frac{a_3}{A^{1/3}}$ 

for constant A

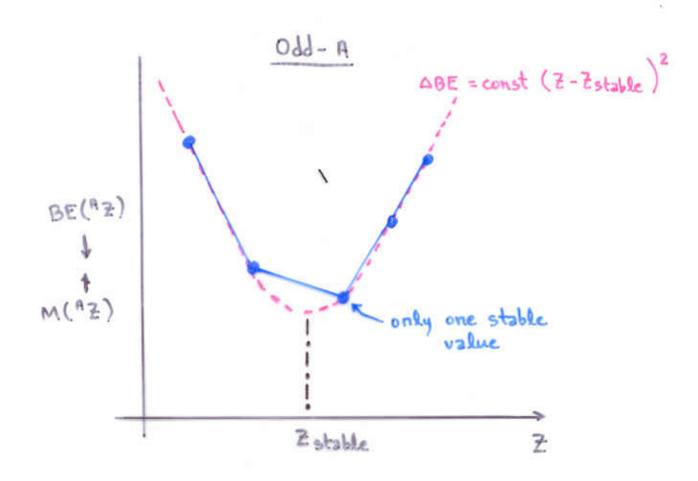
See the figure on the next page. This means

Proof

$$\begin{split} \Delta BE &= -\left(\frac{a_{3}}{A^{1/3}}\right) \left(Z^{2} - Z_{stab}^{2}\right) - \left(\frac{a_{4}}{A}\right) \left(\left[A - 2Z\right]^{2} - \left[A - 2Z_{stab}\right]^{2}\right) \\ &= -\left(\frac{a_{3}}{A^{1/3}}\right) \left(Z^{2} - Z_{stab}^{2}\right) \\ &- \left(\frac{a_{4}}{A}\right) \left(A^{2} - 4AZ + 4Z^{2} - A^{2} + 4AZ_{stab} - 4Z_{stab}^{2}\right) \\ &= -\left(\frac{a_{3}}{A^{1/3}}\right) \left(Z^{2} - Z_{stab}^{2}\right) - \left(\frac{4a_{4}}{A}\right) \left(Z^{2} - Z_{stab}^{2} - AZ + AZ_{stab}\right) \\ &= -\left(\frac{a_{3}}{A^{1/3}}\right) \left(Z^{2} - 2ZZ_{stab} + Z_{stab}^{2} + 2ZZ_{stab} - 2Z_{stab}^{2}\right) \\ &- \left(\frac{4a_{4}}{A}\right) \left(Z^{2} - 2ZZ_{stab} + Z_{stab}^{2} - 2Z_{stab}^{2} - AZ + AZ_{stab} + 2ZZ_{stab}\right) \\ &= K\left(Z - Z_{stab}\right)^{2} - \left(\frac{a_{3}}{A^{1/3}}\right) \left(2ZZ_{stab} - 2Z_{stab}^{2}\right) \\ &- \left(\frac{4a_{4}}{A}\right) \left(-2Z_{stab}^{2} - AZ + AZ_{stab} + 2ZZ_{stab}\right) = K\left(Z - Z_{stab}\right)^{2} + F \end{split}$$

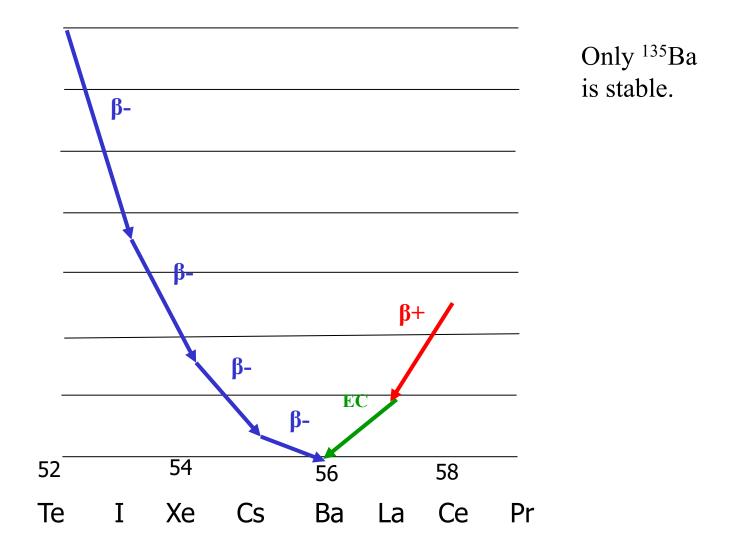
$$F = -\left(\frac{a_{3}}{A^{1/3}}\right)\left(2ZZ_{stab} - 2Z_{stab}^{2}\right)$$
  
$$-\left(\frac{4a_{4}}{A}\right)\left(-2Z_{stab}^{2} - AZ + AZ_{stab} + 2ZZ_{stab}\right)$$
  
$$= -2Z_{stab}\left(\frac{a_{3}}{A^{1/3}} + \frac{4a_{4}}{A}\right)\left(Z - Z_{stab}\right)$$
  
$$-\left(4a_{4}\right)\left(Z_{stab} - Z\right)$$
  
$$=\left(\frac{2Z_{stab}}{A}\right)\left(a_{3}A^{2/3} + 4a_{4}\right)\left(Z_{stab} - Z\right) - \left(4a_{4}\right)\left(Z_{stab} - Z\right)$$
  
$$=\left(\frac{2\left[\frac{2a_{4}A}{a_{3}A^{2/3} + 4a_{4}}\right]}{A}\right)\left(a_{3}A^{2/3} + 4a_{4}\right)\left(Z_{stab} - Z\right) - \left(4a_{4}\right)\left(Z_{stab} - Z\right)$$
  
$$=0$$

### At constant A



### Odd A. A=135

Single parabola even-odd and odd-even



that for all A = odd, there is one and only one stable isotope, e.g., <sup>13</sup>C, <sup>15</sup>N, <sup>17</sup>O, <sup>19</sup>F, <sup>21</sup>Ne, <sup>23</sup>Na, <sup>27</sup>Al, etc. There are some near calls - <sup>113</sup>Cd decays to <sup>113</sup>In with a half life of 9 × 10<sup>15</sup> y; <sup>115</sup>In decays to <sup>115</sup>Sn with a half life of 4 × 10<sup>14</sup> y; and <sup>123</sup>Te decays to <sup>123</sup>Sb with a half life of 1 × 10<sup>13</sup> y. These special cases are because of shell closures. e.g., at Z = 50 for In and Sn.

Things are more complicated for even A because of the pairing correction and the two different ways of making even A (even Z,N; odd Z,N).

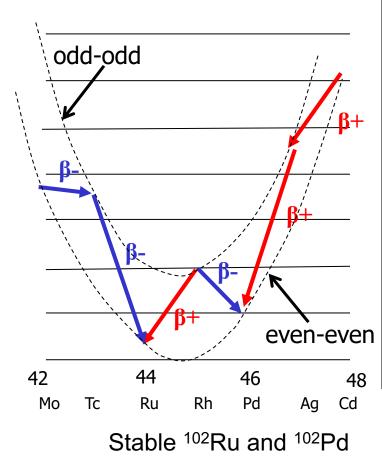
$$\Delta BE(\text{even A}) = \text{const} (Z - Z_{\text{stab}})^2 + \delta \text{ odd } Z - \delta \text{ even } Z$$

As a result one gets *two* curves, one for the odd-Z, even-A isotopes, and one for the even-Z, even-A isotopes. Depending on the placement of points on these curves one can have 1, 2, or even 3 stable isotopes at each

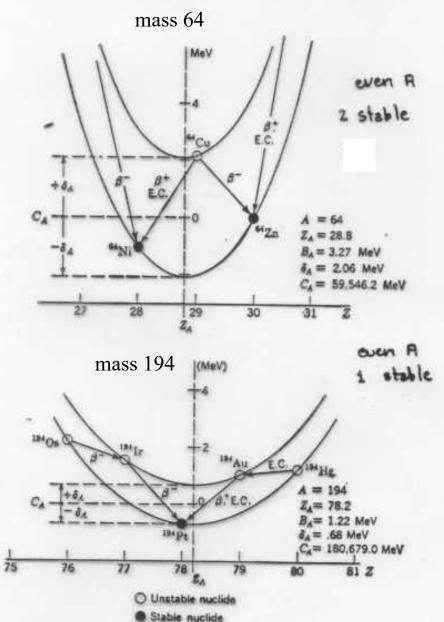
- Even A:
- two parabolas
- one for o-o & one for e-e
- lowest o-o nucleus often has two decay modes
- most e-e nuclei have two stable isotopes
- there are nearly no stable o-o nuclei in nature because these can usually decay to an e-e nucleus
- Exceptions <sup>2</sup>H, <sup>6</sup>Li, <sup>10</sup>B, <sup>14</sup>N

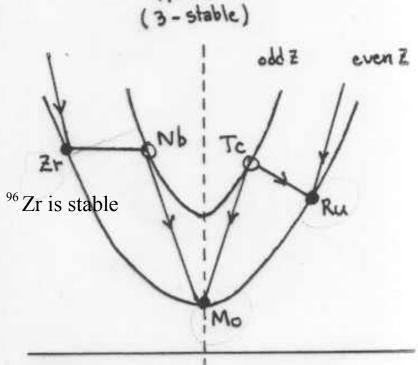
Even A. A=102

Two parabolas separated by 2δ, odd-odd and even-even



an "even-even" nucleus must decay to an "odd-odd" nucleus and vice versa.





A=96

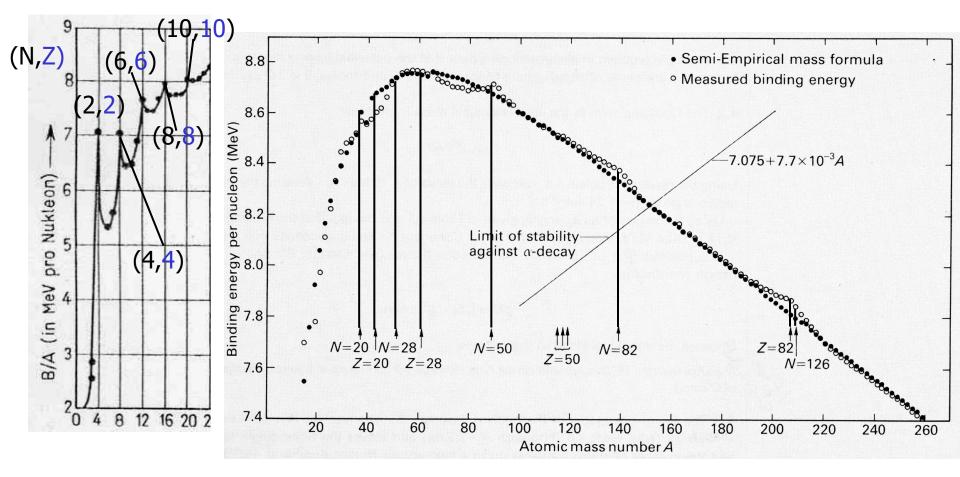
(actually <sup>96</sup>Zr may decay to <sup>96</sup>Nb with a <u>very</u> long half-life; Mass . 136 - Xe, Ba, Ce might be a better example) A. For example <sup>12</sup>C, <sup>14</sup>N, and <sup>16</sup>O; but also <sup>40</sup>Ar, <sup>40</sup>Ca, <sup>54</sup>Cr, <sup>54</sup>Fe, <sup>64</sup>Ni <sup>64</sup>Zn; and even <sup>136</sup>Xe, <sup>136</sup>Ba, <sup>136</sup>Ce. Because the pairing energy gets smaller as one goes to large A, the two parabolas lie closer and it is easier to have multiplets. For light elements below sulfur, 1 isotope is typical for even A. Above about calcium, two isotopes are typical, but there are exceptions, especially in the vicinity of closed shells. Nuclei with both odd Z and odd N are very rarely bound, but there are notable exceptions, <sup>2</sup>H, <sup>6</sup>Li, <sup>10</sup>B, <sup>14</sup>N, but these are so light that our liquid drop model is quite inadequate.

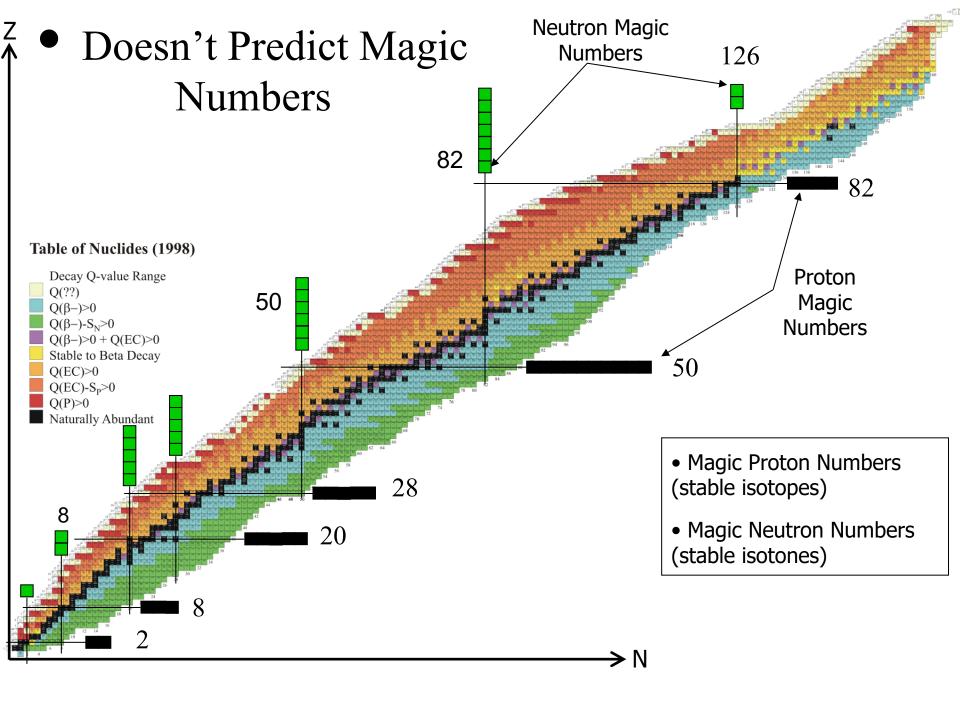
### To summarize:

- odd A There exists one and only one stable isotope
- odd Z odd N Very rarely stable. Exceptions <sup>2</sup>H, <sup>6</sup>Li, <sup>10</sup>B, <sup>14</sup>N. Large surface to volume ratio. Our liquid drop model is not really applicable.
- even Z even N Frequently only one stable isotope (below sulfur). At higher A, frequently 2, and occasionally, 3.

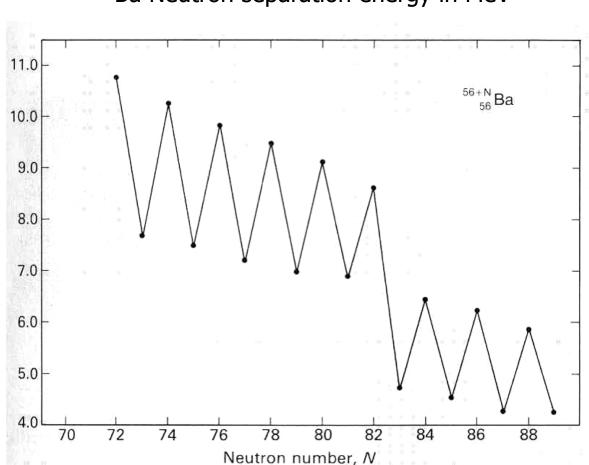
The Shell Model Shortcomings of the Liquid Drop Model

• Simple model does not apply for A < 20



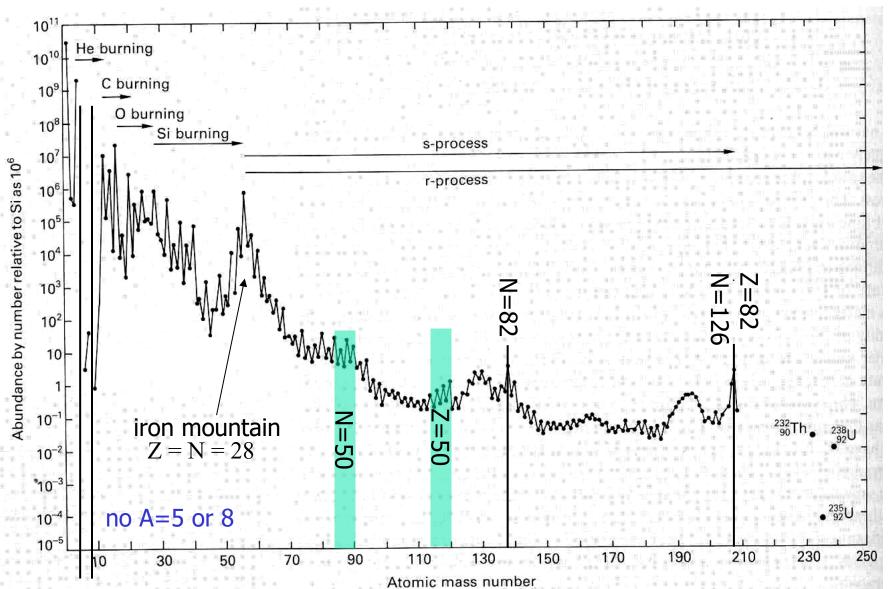


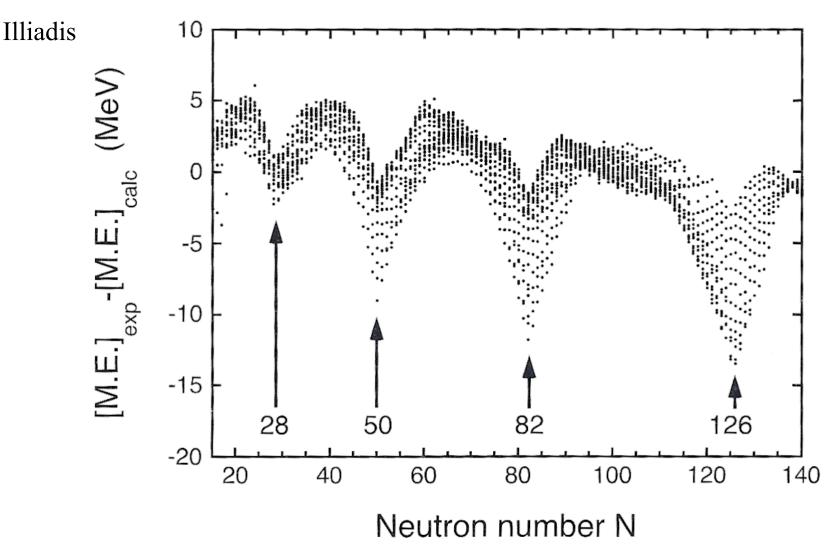
- Neutron separation energies
  - saw tooth from pairing term
  - big step down when N goes across magic number at 82



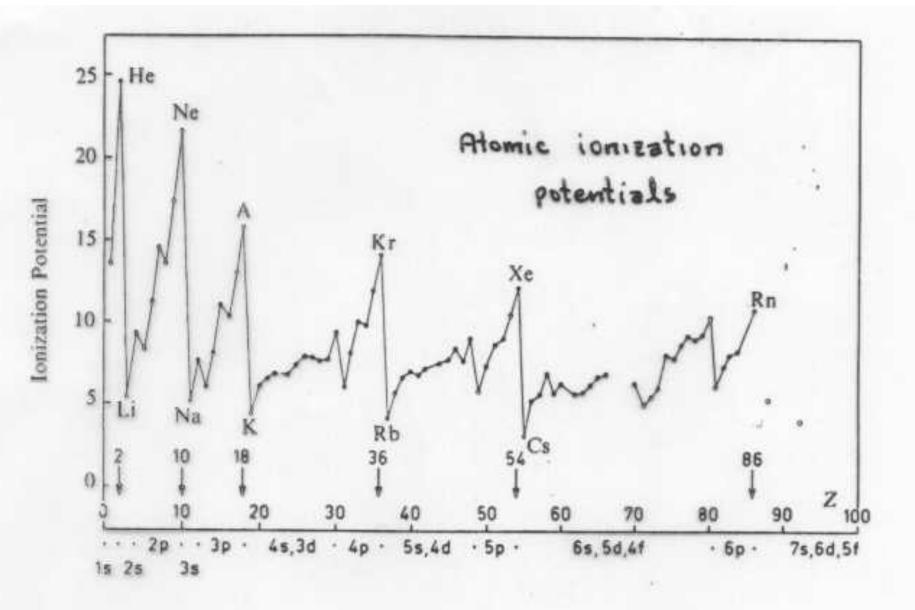
Ba Neutron separation energy in MeV

## Abundance patterns reflect magic numbers





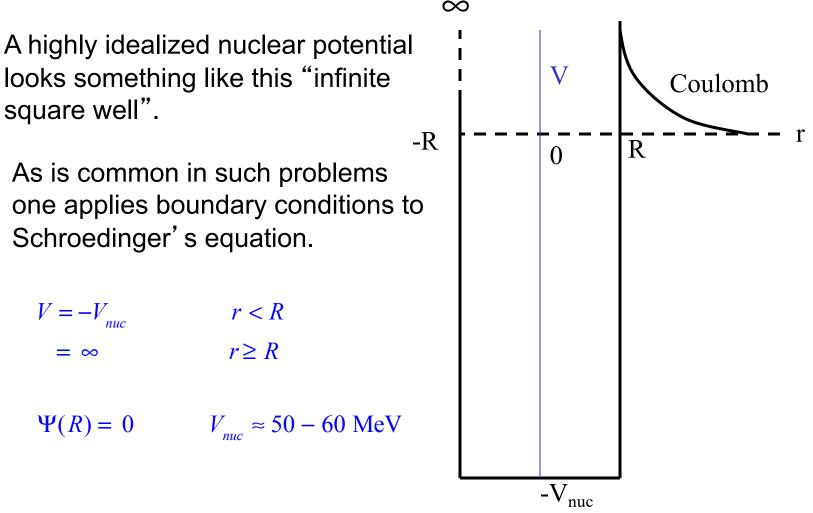
**Fig. 1.11** Difference between experimental ground-state atomic mass excess (Audi et al. 2003) and the mass excess predicted by the spherical macroscopic part of the finite-range droplet (FRDM) mass formula (Möller et al. 1995) versus neutron number.



## Shell Model – Mayer and Jensen 1963 Nobel Prize

Our earlier discussions treated the nucleus as sets of identical nucleons and protons comprising two degenerate Fermi gases. That is OK so far as it goes, but now we shall consider the fact that the nucleons have spin and angular momentum and that, in analogy to electrons in an atom, are in ordered discrete energy levels characterized by conserved quantized variables – energy, angular momentum and spin.

Clayton 311 – 319



(In the case you have probably seen before of electronic energy levels in a hydrogen atom, one would follow the same procedure, but the potential would be the usual [attractive] 1/r potential.)

## Schroedinger's Equation:

$$-\frac{\hbar^2}{2M}\nabla^2\Psi + (\mathbf{V} - \mathbf{E})\Psi = 0$$

Spherical symmetry:

$$\Psi_{n,l,m}(r,\theta,\varphi) = f_{n,l}(r)Y_l^m(\theta,\phi)$$
Radial equation:  

$$-\frac{\hbar^2}{2M} \left(\frac{\partial^2}{\partial r^2} + \frac{2}{r}\frac{\partial}{\partial r}\right) f_{n,l}(r) + \left[\frac{l(l+1)\hbar^2}{2Mr^2} + V_{nuc}(r)\right] f_{n,l}(r) = Ef_{n,l}(r)$$
Rotational energy Clayton 4-102

Solve for E.

Classically, centrifugal force goes like

$$F_{c} = \frac{mv^{2}}{R} = \frac{m^{2}v^{2}R^{2}}{mR^{3}} = \frac{L^{2}}{mR^{3}}$$

One can associate a centrifugal potential with this,

$$\int F_{\rm c} \, \mathrm{dR} = \frac{-\mathrm{L}^2}{2\mathrm{mR}^2}$$

Taking the usual QM eigenvaluens for the operator  $L^2$  one has

$$\frac{-l(l+1)\hbar^2}{2mR^2}$$

Substitute:

$$\rho = \sqrt{\frac{2 \operatorname{M}(\mathrm{E} - \mathrm{V}_{\mathrm{nuc}})}{\hbar^2}} r \qquad V_{\mathrm{nuc}} \text{ is } < 0$$

To obtain:

$$\rho^{2} \frac{\partial^{2} f}{\partial \rho^{2}} + 2\rho \frac{\partial f}{\partial \rho} + (\rho^{2} - l(l+1))f = 0$$

Solution is:

$$f = \sqrt{\frac{\pi}{2\rho}} \quad \mathbf{J}_{l+1/2}(\rho)$$

**Spherical Bessel Functions** 

Abramowitz and Stegun 10.1.1

http://people.math.sfu.ca/~cbm/aands/

The solutions to the infinite square well potential are then the zeros of spherical Bessel functions (Landau and Lifshitz, Quantum Mechanics, Chapter 33, problem 2)

$$E_{n,l} = -|V_{nuc}| + \frac{\hbar^2}{2MR^2} \left[ \pi^2 \left( n + \frac{\ell}{2} \right)^2 - \ell(\ell+1) \right]$$

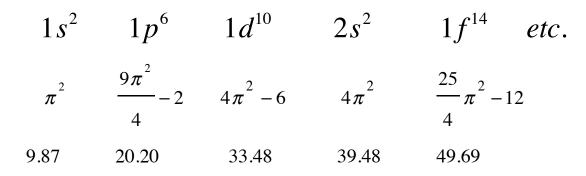
more negative means more bound

We follow the custom of labeling each state by a principal quantum number, n, and an angular momentum quantum number,  $\ell$ , e.g. 3d (n = 3,  $\ell$  = 2)  $\ell$  = 0, 1, 2, 3, 4, 5, etc = s, p, d, f, g, h etc

- States of higher n are less bound as are states of larger l
   l can be greater than n
- Each state is 2 (2l +1) degenerate. The 2 out front is for the spin and the 2 l + 1 are the various z projections of l
- E.g., a 3d state can contain 2 (2(2) +1) = 10 neutrons or protons

This gives an energy ordering

$$\pi^2 \left( n + \frac{\ell}{2} \right)^2 - \ell (\ell + 1)$$



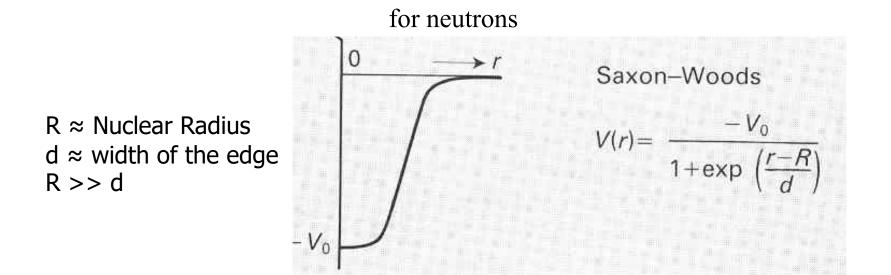
This simple progression would predict shell closures at Z = N = 2, 8, 18, 20, 34 etc, i.e, <sup>4</sup>He, <sup>16</sup>O, <sup>36</sup>Ar, <sup>40</sup>Ca, etc Agood beginning but increasingly in error at high Z, N

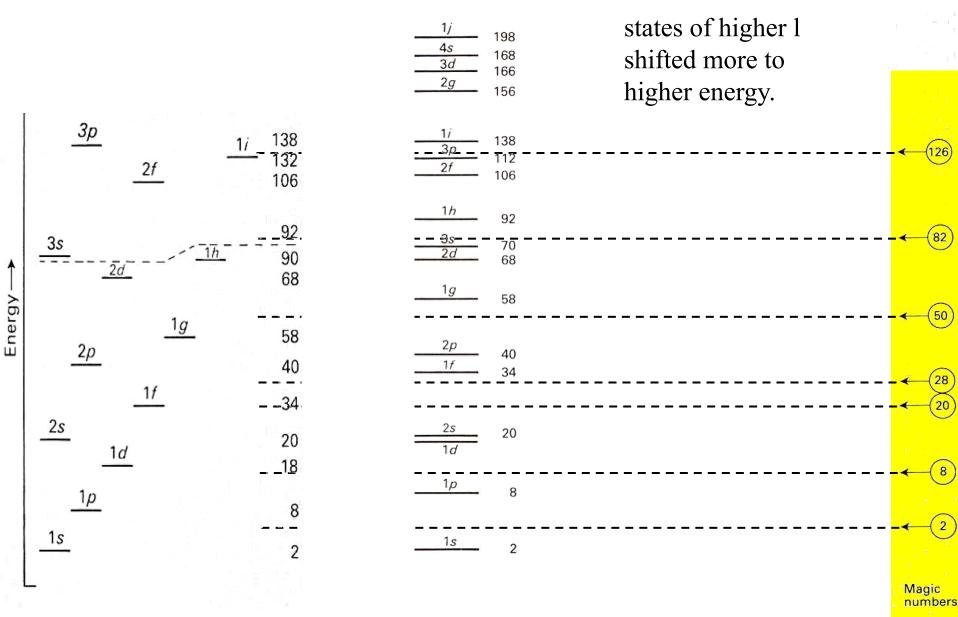
So far we have considered the angular momentum of the nucleons but have ignored the fact that they are Fermions and have spin

Infinite Square Well Solutions cumulative occupation				
	<u>4s</u> <u>3d</u>	168 166	desired magic	
	<u>2g</u>	156	numbers	
	<u>3p</u>	1/ 138 132 106	126	
↑ >	$\frac{3s}{2d}$	<sup>92</sup> 90 68	82	dotted line is to distinguish 3s, 2d, and 1h.
Energy –	<u>1g</u>	58 40	50	
	<u>1</u> f	34	28	
	<u>2s</u> <u>1d</u>	20 18	20	
	<u>1</u> p	8	8	
. ** 0	<u>1s</u>	2	2	

## Improving the Nuclear Potential Well

The real potential should be of finite depth and should probably resemble the nuclear density - flat in the middle with rounded edges that fall off sharply due to the short range of the nuclear force.





Infinite square well

With Saxon-Woods potential

Better, the gap at 20 is now closer to correct.

But this still is not very accurate above Z = 20 because:

- Spin is very important to the nuclear force
- The Coulomb force becomes important for protons but not for neutrons.

Introduce spin-orbit and spin-spin interactions

 $\vec{l} \cdot \vec{s}$  and  $\vec{s} \cdot \vec{s}$ 

Define a new quantum number

 $\vec{j} = \vec{l} + \vec{s}$ 

Get spliting of levels into pairs

$$1p \rightarrow 1p_{1/2} \quad 1p_{3/2}$$
$$2f \rightarrow 1f_{5/2} \quad 2f_{7/2}$$
etc

Label states by  $nl_i$ 

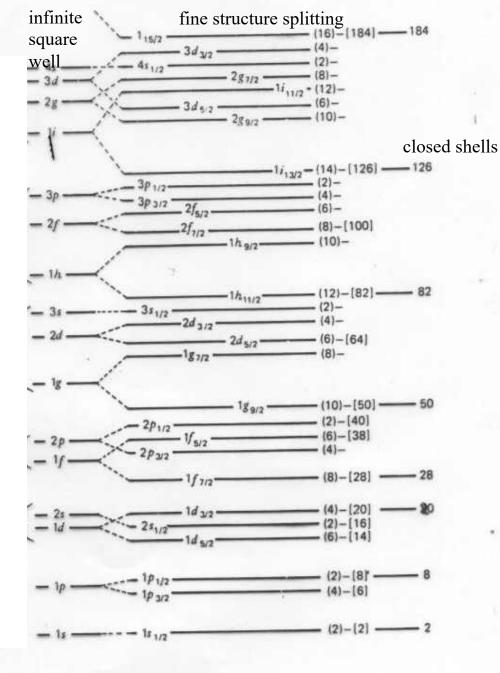
This interaction is quite different from the fine structure splitting in atoms. It is much larger and *lowers* the state of larger **j** (parallel **I** and **s**) compared to one with smaller **j**. See Clayton p. 311ff). The interaction has to do with the spin dependence of the nuclear force, not electromagnetism.

Empirically V = -  $\alpha$  l · s  $\alpha$  = 13 A<sup>-2/3</sup> MeV

$$\Delta E = -\frac{\alpha}{2}l \qquad j = (l + \frac{1}{2}) + \frac{\alpha}{2}(l+1) \qquad j = (l - \frac{1}{2})$$

These can be large compared even to the spacing between the principal levels.

The state with higher *j* is more tightly bound; the splitting is bigger as *l* gets larger.



Protons:

For neutrons see Clayton p. 315 The closed shells are the same but the ordering of states differs from  $1g_{7/2}$  on up. For neutrons  $2d_{5/2}$  is more tightly bound. The  $3s_{1/2}$  and  $2d_{3/2}$  are also reversed. For neutrons the level scheme is the same as for protons up to N = 50. Above that the Coulomb repulsion of the protons has an effect and favors orbits (for protons) with higher angular momentum. Thus for example the  $51^{st}$  neutron is in the d level of j = 5/2 while for protons it is in the g level of j = 7/2. The effect is never enough to change the overall shell closures and magic numbers.

Maria Goeppert Mayer – Nobel - 1963

The correct energy ordering then becomes:

For neutrons:

$$1s_{1/2}^{2} | 1p_{3/2}^{4} 1p_{1/2}^{2} | 1d_{5/2}^{6} 2s_{1/2}^{2} 1d_{3/2}^{4} | 1f_{7/2}^{8} | 2p_{3/2}^{4} 1f_{5/2}^{6} 2p_{1/2}^{2} 1g_{9/2}^{10} | etc.$$

where | denotes a large energy gap – hence "magic number

For protons the ordering is the same up to  $1g_{9/2}$  but differs at the next level,  $2d_{5/2}$  for neutrons,  $1g_{7/2}$  for protons

Each state can hold (2j+1) nucleons.

The numbers where each of these shells close are

2,(6), 8, (14, 16), 20, 28, (32 38, 40), 50 where the calculated shell gaps are relatively small for the numbers in parenthesis

Remember 2, 8, 20, 28, 50, 82, 126  

$$Examples: {}^{4}He, {}^{16}O, {}^{40}Ca, {}^{56}Ni, {}^{90}Zr \\ {}^{48}Ca \\ {}^{120}Sn, {}^{208}Pb, {}^{209}Bi \\ {}^{209}Bi \\ {}^{209}Bi \\ {}^{209}Bi \\ {}^{5-process} \end{pmatrix}$$

Each state is now (2j+1) degenerate (less than before)

The total number of states of given nol is still the same 2(21+1)

before 
$$1p(2)(2+1)=6$$
 now  $1p_{3/2}(4)$   
 $1p_{y_2}(2)$ 

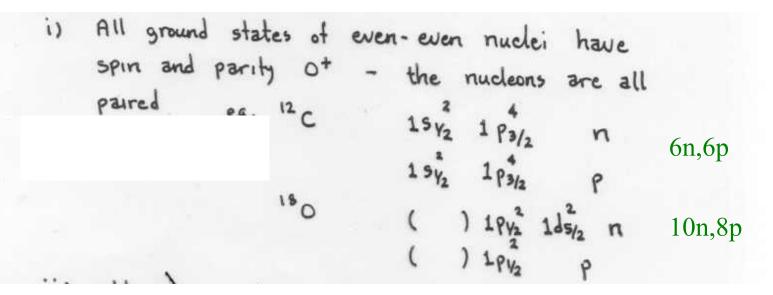
The states with higher j are more tightly bound (remember <sup>2</sup>H ft j=1<sup>+</sup> is bound <sup>2</sup>He ft j=0<sup>+</sup> is not)

## A. Ground states of nuclei

Each quantum mechanical state of a nucleus can be specified by an energy, a total spin, and a parity.

The spin and parity of the ground state is given by the spin and parity (-1)<sup>1</sup> of the "valence" nucleons, that is the last unpaired nucleons in the least bound shell.

 $1s_{1/2}^2 1p_{3/2}^4 1p_{1/2}^2 1d_{5/2}^6 2s_{1/2}^2 1d_{3/2}^4 \dots$ 



ii) odd - mass nuclei - spin and parity usually given by extra ("valence") nucleon

 $\begin{array}{cccc} \underline{(1,1)} \\ \underline{(1,1)} \\$ 

iii) The odd-odd nuclei pose special problems "N 15y2 1P3/2 1Py2 n """ P

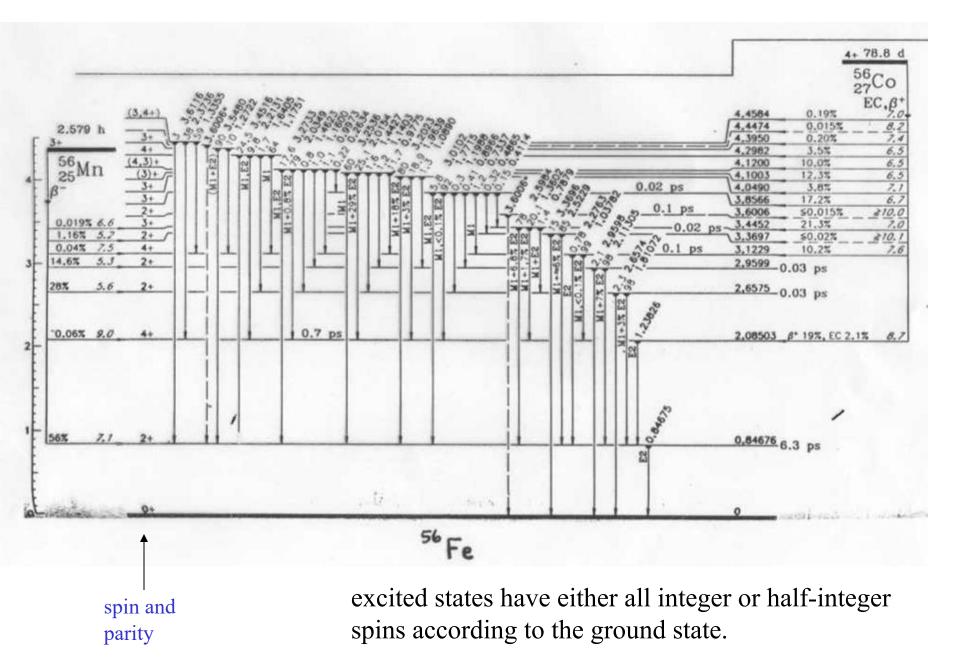
The total J" is the vector sum. of the two extra nucleons which could be 0<sup>+</sup> or 1<sup>+</sup> It turns out to be 1<sup>+</sup> (but the first excited state (2.313 Mev) is 0<sup>+</sup>.

> (the parity is the product of the parity of the two states)

obviously, nuclei can have excited states just as atoms can. Key differences -

i) 2 Kinds of particles to excite

 ii) multiple excitations are not uncommon
 iii) spin-orbit interaction relatively larger
 iv) I can be greater than n (l < n is true for 1/r potentials but not others)</li>
 These excited states (and in some cases ground states) can serve as resonances for nuclear reactions.



$$1s_{1/2}^2 1p_{3/2}^4 1p_{1/2}^2 1d_{5/2}^6 2s_{1/2}^2 1d_{3/2}^4 \dots$$

eg, <sup>12</sup>C first excited state  $1s_{1/2}^2 1p_{3/2}^4 \rightarrow 1s_{1/2}^2 1p_{3/2}^3 1p_{1/2}^1$ 

Adding  $3/2^-$  and  $1/2^-$  gives  $1^+$  or  $2^+$ The first excited state of  ${}^{12}C$  at 4.439 MeV is  $2^+$ 

but it is not always, or even often that simple.

Multiple excitations, two kinds of particles, adding holes and valence particles, etc. The whole shell model is just an approximation.

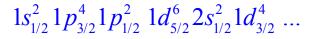
## **Nuclear reactions:**

As will be discussed more next time, the excited states or ground state of a nucleus can serve as a "resonance" for a reaction. The more the product state "looks like" the sum of the reactants, the more likely it is to occur.

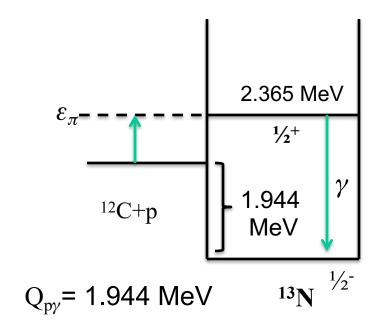
Reactions must conserve energy of course, but they must also conserve spin and parity.

- J is the vector sum of the spins of the reactants.
- $\pi$  is the parity of the state or particle

For example, the spin and parity of the ground state of <sup>12</sup>C is 0<sup>+</sup>. The spin and parity of the  $\alpha$ -particle is also 0<sup>+</sup>. The reaction  ${}^{12}C(\alpha,\gamma){}^{16}O$  can thus only make 0<sup>+</sup> states in  ${}^{16}O$  – if the reactants have no angular momentum. However, there is a quantized angular momentum for the reactants characterized by a quantum number 1. The parity of the interaction is (-1)  ${}^{1}$ . So by "I-waves 0, 1, 2, 3 etc states of 0<sup>+</sup>, 1<sup>-</sup>, 2<sup>+</sup>, 3<sup>-</sup>, etc in  ${}^{16}O$  could serve as resonances. 1<sup>+</sup> would be invisible though.



 $^{12}C(p,\gamma)^{13}N$ 



 $J^{\pi}(^{12}C) = 0^+$  $J^{\pi}(p) = 1/2^+$ 

So by I = 0 waves can make the  $\frac{1}{2}$ <sup>+</sup> resonance in <sup>13</sup>N.

But what if the exited state had some other spin and parity or I was not equal to 0?

Suppose the 2.365 MeV state in <sup>13</sup>N had  $J^{\pi} = \frac{1}{2}$  instead. Could the resonant reaction still proceed? Yes but for a different value of  $\ell$ .

 $\overline{J}$ (target) +  $\overline{J}$ (projectile) +  $\overline{l}$  (projectile)=

 $\overline{J}(\text{product}) + \overline{J}(\text{outgoing particle}) + \overline{l}(\text{outgoing particle})$ 

J(photon)=0

J(n or p) = 1/2

and we want to couple  $1/2^+$  (target) to  $1/2^-$  (product). So  $\ell = 1$  works since

$$\frac{\overline{1}}{2} + \overline{1} = \frac{3}{2}, \ \frac{1}{2}$$

and the partity is + for the target state and - for  $\ell = 1$ , so  $\ell = 1$ 

would make states in <sup>13</sup>N with spin and parity,  $1/2^{-}$ , and  $3/2^{-}$ .

One could make a  $3/2^+$  state with an  $\ell=2$  interaction and so on.

But an  $\ell = 0$  interaction is much more likely (if possible). Cross sections decline rapidly with increasing  $\ell$