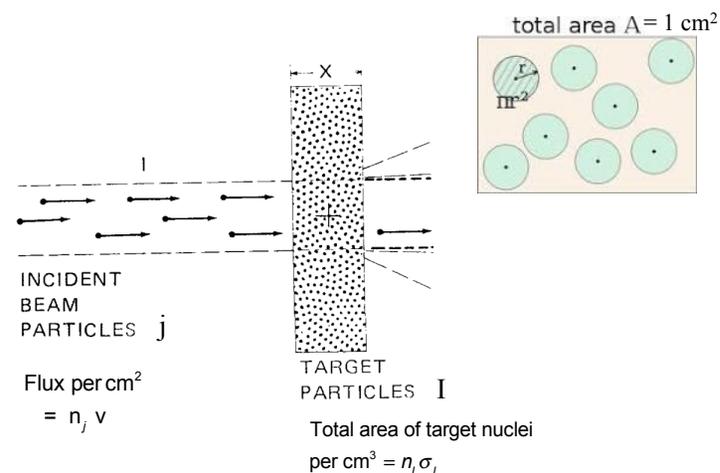


Lecture 5

Basic Nuclear Physics – 3

Nuclear Cross Sections and Reaction Rates



Reaction rate per cm^3
assuming no blocking = $n_j v n_i \sigma_i$

The reaction rate for the two reactants, I and j as in e.g., $I(j,k) L$ is then:

$$n_i n_j \sigma_{ij} v$$

which has units “reactions $\text{cm}^{-3} \text{s}^{-1}$ ”

It is more convenient to write things in terms of the mole fractions,

$$Y_i = \frac{X_i}{A_i} \quad n_i = \rho N_A Y_i$$

so that the rate becomes

$$(\rho N_A)^2 Y_i Y_j \sigma_{ij} v$$

and a term in a rate equation describing the destruction of I might be

$$\frac{dY_i}{dt} = -\rho Y_i Y_j N_A \langle \sigma_{ij} v \rangle + \dots$$

$$\left(\frac{\text{gm}}{\text{cm}^3} \right) \left(\frac{\text{atoms}}{\text{Mole}} \right) \left(\frac{\text{Mole}}{\text{gm}} \right)$$

Equivalent to

$$\frac{dn_i}{dt} = -n_i n_j \langle \sigma_{ij} v \rangle + \dots$$

Here $\langle \rangle$ denotes a suitable average over energies and angles and the reactants are usually assumed to be in thermal equilibrium. The thermalization time is short compared with the nuclear timescale.

For example, a term in the rate equation for ^{12}C during the CNO cycle might look like

$$\frac{dY(^{12}\text{C})}{dt} = -\rho Y(^{12}\text{C}) Y_p N_A \langle \sigma_{p\gamma}(^{12}\text{C}) v \rangle + \dots$$

for the reaction $^{12}\text{C}(p,\gamma)^{13}\text{N}$

The cross section for reaction is defined in the usual way:

$$\sigma = \frac{\text{number reactions/nucleus /second}}{\text{number incident particles/cm}^2 \text{ / second}}$$

σ clearly has units of area (cm²)

For a Maxwell-Boltzmann distribution of reactant energies

$$f(v) = \sqrt{\left(\frac{m}{2\pi kT}\right)^3} 4\pi v^2 e^{-\frac{mv^2}{2kT}},$$

The average of the cross section times velocity is

$$\langle \sigma_{ij} v \rangle = \left(\frac{8}{\pi\mu}\right)^{1/2} \left(\frac{1}{kT}\right)^{3/2} \int_0^\infty \sigma_{ij}(E) E e^{-E/kT} dE$$

where μ is the "reduced mass"

$$\mu = \frac{M_i m_j}{M_i + m_j}$$

for the reaction I (j, k) L

$$v = \left(\frac{2E}{m}\right)^{1/2} \quad dv = \left(\frac{1}{2}\right) \left(\frac{2}{mE}\right)^{1/2} dE$$

$$\sigma v^3 dv = \sigma \left(\frac{2E}{m}\right)^{3/2} \frac{1}{2} \left(\frac{2}{mE}\right)^{1/2} dE$$

$$= \frac{2}{m^2} \sigma E dE$$

Center of mass system – that coordinate system in which the total initial momenta of the reactants is zero.

The energy implied by the motion of the center of mass is not available to cause reactions.

Replace mass by the "reduced mass"

$$\mu = \frac{M_1 M_2}{M_1 + M_2}$$

Read Clayton – Chapter 4.1

For T in 10⁹ K = 1 GK, σ in barns (1 barn = 10⁻²⁴ cm²), E₆ in MeV, and k = 1/11.6045 MeV/GK, the thermally averaged rate factor in cm³ s⁻¹ is

$$\langle \sigma_{jk} v \rangle = \frac{6.197 \times 10^{-14}}{\hat{A}^{1/2} T_9^{3/2}} \int_0^\infty \sigma_{jk}(E_6) E_6 e^{-11.6045 E_6 / T_9} dE_6$$

$$\hat{A} = \frac{A_i A_j}{A_i + A_j} \quad \text{for the reaction I(j,k)L}$$

If you know σ_{jk} from the lab, or a calculation, in the center of mass frame, just put it in and integrate. **The end**

The actual form of σ may be very complicated and depends upon the presence or absence of resonances and the reaction mechanism. In general, however, it is of the form ...

The Cross Section

Area subtended by a de Broglie wavelength in the c/m system. Characteristic quantum mechanical dimension of the system

How much the nucleus I+j looks like the target nucleus I with j sitting at its surface. Likelihood of staying inside R once you get there.

$$\lambda = \frac{\hbar}{p} = \frac{1}{k}$$

$$\sigma(E) = \underbrace{\pi \lambda^2}_{\text{geometry term (Cla 4-180)}} \underbrace{\rho P_l(E)}_{\text{penetration factor}} \underbrace{X(E, A)}_{\text{nuclear structure}}$$

probability a flux of particles with energy E at infinity will reach the nuclear surface. Must account for charges and QM reflection.

see Clayton Chapter 4

where λ is the de Broglie wavelength in the c/m system

$$\pi\lambda^2 = \frac{\pi\hbar^2}{\mu^2 v^2} = \frac{\pi\hbar^2}{2\mu E} = \frac{0.656 \text{ barns}}{\hat{A} E(\text{MeV})}$$

where 1 barn = 10^{-24} cm^2 is large for a nuclear cross section.

Note that generally $E(\text{MeV}) < 1$ and $\lambda > R_{\text{nucleus}}$ but

λ is much smaller than the interparticle spacing.

$$\mu = \frac{M_1 M_2}{M_1 + M_2}$$

$$\hat{A} = \frac{A_1 A_2}{A_1 + A_2} \sim 1 \text{ for neutrons and protons}$$

~ 4 for α -particles if A_1 is large

Classically, centrifugal force goes like

$$F_c = \frac{mv^2}{R} = \frac{m^2 v^2 R^2}{mR^3} = \frac{L^2}{mR^3}$$

One can associate a centrifugal potential with this,

$$\int F_c dR = \frac{-L^2}{2mR^2}$$

Expressing things in the center of mass system and taking the usual QM eigenvalues for the operator L^2 one has

$$\frac{-l(l+1)\hbar^2}{2\mu R^2}$$

Consider just the barrier penetration part ($R < r < \text{infinity}$)

Clayton p. 319ff shows that Schroedinger's equation for two interacting particles in a radial potential is given by (Cla 4-122) [see also our Lec 4]

$$\Psi(r, \theta, \phi) = \frac{\chi_l(r)}{r} Y_l^m(\theta, \phi) \quad * \quad \text{potential}$$

where $\chi(r)$ satisfies

$$\left[\frac{-\hbar^2}{2\mu} \frac{d^2}{dr^2} + \frac{l(l+1)\hbar^2}{2\mu r^2} + V(r) - E \right] \chi_l(r) = 0 \quad \begin{cases} V(r) = \frac{Z_1 Z_2 e^2}{r} & r > R \\ V(r) = V_{\text{muc}} & r < R \end{cases}$$

(Clayton 4-103)

for interacting particles with both charge and angular momentum. The angular momentum term represents the known eigenvalues of the operator L^2 in a spherical potential

The $1/r$ cancels the r^2 when integrating $\Psi^ \Psi$ over solid angles (e.g. Clayton 4-114). It is not part of the potential dependent barrier penetration calculation.

To solve

$$\left[\frac{-\hbar^2}{2\mu} \frac{d^2}{dr^2} + \frac{l(l+1)\hbar^2}{2\mu r^2} + V(r) - E \right] \chi_l(r) = 0$$

divide by E and substitute for $V(r)$ for $r > R$

$$\left[\frac{-\hbar^2}{2\mu E} \frac{d^2}{dr^2} + \frac{l(l+1)\hbar^2}{2\mu r^2 E} + \frac{Z_1 Z_2 e^2}{rE} - 1 \right] \chi(r) = 0$$

Change of radius variable. Substitute for r

$$\rho = \sqrt{\frac{2\mu E}{\hbar^2}} r \quad d\rho \rightarrow \sqrt{\frac{2\mu E}{\hbar^2}} dr \quad d^2\rho \rightarrow \frac{2\mu E}{\hbar^2} d^2r$$

and for Coulomb interaction

$$\eta = \frac{Z_1 Z_2 e^2}{\hbar v} \quad v = \sqrt{\frac{2E}{\mu}}$$

chain rule

to obtain

$$\left[\frac{-d^2}{d\rho^2} + \frac{l(l+1)}{\rho^2} + \frac{2\eta}{\rho} - 1 \right] \chi_l(\rho) = 0$$

ρ and η are dimensionless numbers

multiply by -1

$$\frac{d^2\chi}{d\rho^2} + \left(1 - \frac{2\eta}{\rho} - \frac{l(l+1)}{\rho^2}\right)\chi = 0$$

has solutions (Abramowitz and Stegun 14.1.1)
<http://people.math.sfu.ca/~cbm/aands/>

This is the solution for
 $R < r < \infty$

$$\chi = C_1 F_l(\eta, \rho) + C_2 G_l(\eta, \rho) \quad C_1 = 1 \quad C_2 = i$$

where F and G, the regular and irregular Coulomb functions are the solutions of the differential equation and the constants come from applying the boundary conditions

The barrier penetration function P_l is then given by

$$P_l = \frac{|\chi_l(\infty)|^2}{|\chi_l(R)|^2} = \frac{F_l^2(\rho = \infty) + G_l^2(\rho = \infty)}{F_l^2(\eta, \rho) + G_l^2(\eta, \rho)} = \frac{1}{F_l^2(\eta, \rho) + G_l^2(\eta, \rho)}$$

Cla 4-115

The “1” in the numerator corresponds to a purely outgoing wave at infinity from a decaying state.

For the one electron atom with a potential $\frac{Ze^2}{r}$, one obtains the same solution but the radial component is Laguerre polynomials.

Physical meaning of $\eta = \frac{Z_1 Z_2 e^2}{\hbar v}$

The classical turning radius, r_0 , is given by

$$\frac{1}{2} \mu v^2 = \frac{Z_1 Z_2 e^2}{r_0}$$

The de Broglie wavelength on the other hand is

$$\lambda = \frac{\hbar}{p} = \frac{\hbar}{\mu v} \quad r_0 = \frac{2Z_1 Z_2 e^2}{\mu v^2} = \eta \frac{2\hbar}{\mu v} = 2\eta \lambda$$

Hence $\eta = \frac{r_0}{2\lambda}$ *nb.*, both η and ρ are dimensionless.

The probability of finding the particle inside of its classical turning radius decreases exponentially with this ratio.

ρP_l gives the probability of barrier penetration to the nuclear radius R with angular momentum l . In general,

$$\rho P_l = \frac{\rho}{F_l^2(\eta, \rho) + G_l^2(\eta, \rho)}$$

where F_l is the regular Coulomb function

e.g., Illiadis 2.162

and G_l is the irregular Coulomb function

See Abramowitz and Stegun, *Handbook of Mathematical Functions*, p. 537

These are functions of the dimensionless variables

$$\eta = \frac{Z_1 Z_2 e^2}{\hbar v} = 0.1575 Z_1 Z_2 \sqrt{\hat{A}/E}$$

contains all the charge dependence

$$\rho = \sqrt{\frac{2\mu E}{\hbar^2}} R = 0.2187 \sqrt{\hat{A} E} R_{fm}$$

contains all the radius dependence

On the other hand,

$$\rho = \sqrt{\frac{2\mu E}{\hbar^2}} R = \frac{R}{\lambda} \quad \lambda = \frac{\hbar}{p} = \frac{\hbar}{\mu v} = \frac{\hbar}{\sqrt{2(\mu)\left(\frac{1}{2}\mu v^2\right)}}$$

is just the size of the nucleus measured in de Broglie wavelengths.

This enters in, even when the angular momentum and charges are zero, because an abrupt change in potential at the nuclear surface leads to reflection of the wave function.

For low interaction energy, ($2\eta \gg \rho$, i.e., $E \ll \frac{Z_i Z_j e^2}{R}$)

and $Z_j \neq 0$, ρP_l has the interesting limit

$$\rho P_l \approx \sqrt{2\eta\rho} \exp\left[-2\pi\eta + 4\sqrt{2\eta\rho} - \frac{2l(l+1)}{\sqrt{2\eta\rho}}\right]$$

Abramowitz and Stegun, 14.6.7

where

$$\sqrt{2\eta\rho} = 0.2625(Z_i Z_j \hat{A} R_{jm})^{1/2}$$

independent of E and l

which is independent of energy but depends on nuclear size.

Note:

rapid decrease with smaller energy and increasing charge ($\eta \uparrow$)

rapid decrease with increasing angular momentum

The leading order term for $l=0$ proportional to

$$\rho P_l \propto \exp(-2\pi\eta)$$

$$\eta = \frac{Z_i Z_j e^2}{\hbar v} = 0.1575 Z_i Z_j \sqrt{\hat{A}/E}$$

$$\rho = \sqrt{\frac{2\mu E}{\hbar^2}} R = 0.2187 \sqrt{\hat{A} E} R_{jm}$$

For particles with charge, providing $X(A,E)$ does not vary rapidly with energy (exception to come), i.e., the nucleus is "structureless"

$$\sigma(E) = \pi \tilde{\lambda}^2 \rho P_l X(A,E) \propto \frac{e^{-2\pi\eta}}{E}$$

This motivates the definition of an "S-factor"

$$S(E) = \sigma(E) E \exp(2\pi\eta)$$

$$\eta = 0.1575 Z_i Z_j \sqrt{\hat{A}/E}$$

$$\hat{A} = \frac{A_i A_j}{A_i + A_j}$$

This S-factor should vary slowly with energy. The first order effects of the Coulomb barrier and Compton wavelength have been factored out.

There exist other interesting limits for ρP_l ,

for example when η is small - as for neutrons where it is 0

$$\rho \propto E^{1/2}$$

$$\rho P_0 = \rho$$

$$\rho P_1 = \frac{\rho^3}{1+\rho^2}$$

$$\rho P_2 = \frac{\rho^5}{9+3\rho^2+\rho^4}$$

$\rho \ll 1$ for cases of interest for neutron capture

This implies that for $l=0$ neutrons the cross section will go as $1/v$.

$$\eta = \frac{Z_i Z_j e^2}{\hbar v} = 0$$

$$\text{i.e., } \pi \tilde{\lambda}^2 \rho P_0 \propto \frac{E^{1/2}}{E} \propto E^{-1/2}$$

$$\rho = \sqrt{\frac{2\mu E}{\hbar^2}} R = 0.2187 \sqrt{\hat{A} E} R_{jm}$$

For low energy neutron induced reactions, the cross section times velocity, i.e., the reaction rate term, is approximately a constant

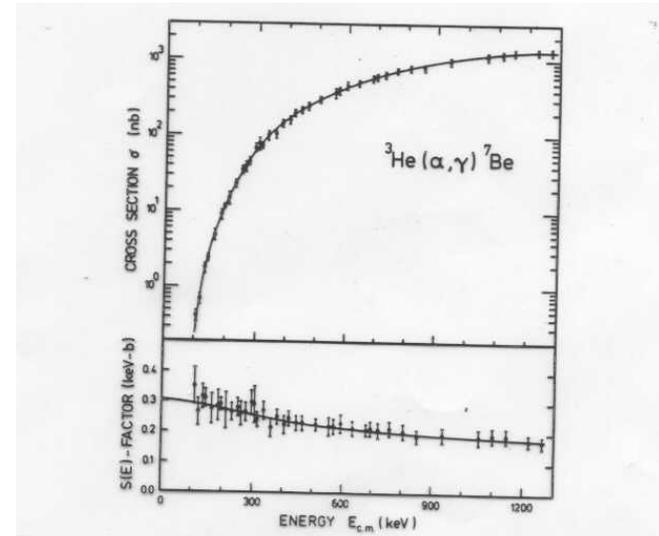


FIGURE 4-1. Energy dependence of the cross section $\sigma(E)$ and the factor $S(E)$ for the ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ reaction (Krä82). The line through the data points represents a theoretical description of the cross section in terms of the direct-capture model. This theory is used to extrapolate the data to zero energy.

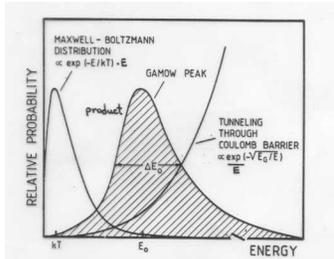
For those reactions in which $S(E)$ is a slowly varying function of energy in the range of interest and can be approximated by its value at the energy where the integrand is a maximum, E_0 ,

$$\sigma(E) \approx \frac{S(E_0)}{E} \exp(-2\pi\eta)$$

$$N_A \langle \sigma v \rangle \approx N_A \left(\frac{8}{\pi\mu} \right)^{1/2} \left(\frac{1}{kT} \right)^{3/2} S(E_0) \int_0^\infty \exp(-E/kT - 2\pi\eta(E)) dE$$

where $\eta(E) = 0.1575 \sqrt{\hat{A}/E(\text{MeV})} Z_1 Z_2$

The quantity in the integral looks like



For accurate calculations we would just enter the energy variation of $S(E)$ and do the integral numerically. However, Clayton shows (p. 301 - 306) that

$\exp\left(\frac{-E}{kT} - 2\pi\eta\right)$ can be replaced to good accuracy by

$C \exp\left(\frac{-(E-E_0)^2}{(\Delta/2)^2}\right)$, i.e. a Gaussian with the same maximum and

second derivative at maximum

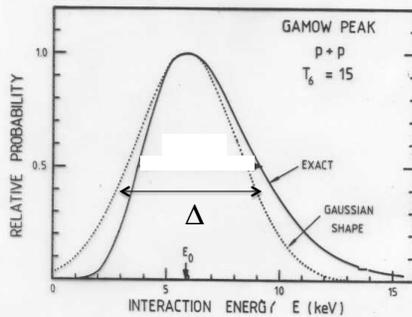
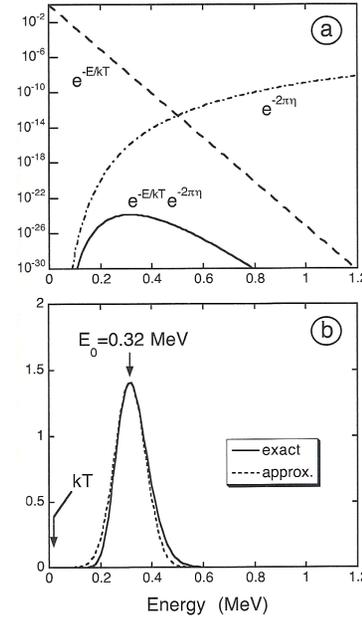


FIGURE 4.7. Curves for the Gamow peak for the $p \rightarrow p$ reaction at $T_9 = 15$, as obtained from the exact expression and from the approximation using the Gaussian function.



Illiadis – Fig. 3.12

Maxwell Boltzmann factor and barrier penetration factor vs energy for the reaction $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$ at $T_8 = 2$. The product $e^{-E/kT}$ times $e^{-2\pi\eta}$ is shown on a logarithmic and a linear scale. The Gamow peak is at 0.32 MeV which is much greater than $kT = 17.2$ keV. The left axis shows probability in arbitrary units.

where E_0 is the *Gamow Energy*

$$E_0 = (\pi\eta E^{1/2} kT)^{2/3}; \quad \eta E^{1/2} = 0.1575 \sqrt{\hat{A}} Z_1 Z_2; \quad kT = \frac{T_9}{11.6045}$$

$$E_0 = 0.122 (Z_1^2 Z_2^2 \hat{A} T_9^2)^{1/3} \text{ MeV}$$

and Δ is its full width at $1/e$ times the maximum

$$\Delta = \frac{4}{\sqrt{3}} (E_0 kT)^{1/2} = 0.237 (Z_1^2 Z_2^2 \hat{A} T_9^5)^{1/6} \text{ MeV}$$

Δ is approximately the harmonic mean of kT and E_0 and it is always less than E_0

e.g. ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ at 1.5×10^7 K

$$E_o = 0.122 \left(Z_I^2 Z_J^2 \hat{A} T_9^2 \right)^{1/3} \text{ MeV}$$

$$\hat{A} = \frac{(3)(4)}{3+4} = 1.714; T_9 = 0.015; Z_I = Z_J = 2$$

$$E_o = 0.122 \left((2)^2 (2)^2 (1.71)(0.015)^2 \right)^{1/3} \text{ MeV}$$

$$= 0.02238 \text{ MeV} = 22.4 \text{ keV}$$

Similarly

$$\Delta = 0.237 \left(Z_I^2 Z_J^2 \hat{A} T_9^5 \right)^{1/6} = 0.0124 \text{ MeV} = 12.4 \text{ keV}$$

See the plot of the S-factor a few slides back

$$\begin{aligned} \exp\left(\frac{-E}{kT} - 2\pi\eta\right) &\approx e^{-\tau} \exp\left(\frac{E-E_o}{\Delta/2}\right)^2 \\ \lambda &= N_A \left(\frac{8}{\pi\mu}\right)^{1/2} \left(\frac{1}{kT}\right)^{3/2} e^{-\tau} \int_0^\infty S(E) \exp\left[-\left(\frac{E-E_o}{\Delta/2}\right)^2\right] dE \\ &= N_A \left(\frac{8}{\pi\mu}\right)^{1/2} \left(\frac{1}{kT}\right)^{3/2} e^{-\tau} S(E_o) \int_0^\infty \exp\left[-\left(\frac{E-E_o}{\Delta/2}\right)^2\right] dE \end{aligned}$$

$$\text{Let } x = \left(\frac{E-E_o}{\Delta/2}\right) \quad dx = \frac{2dE}{\Delta} \quad \text{so } dE = \frac{\Delta dx}{2}$$

Can replace lower bound to intergral $E = \frac{-2E_o}{\Delta}$
by $E = -\infty$ with little loss of accuracy (footnote Clayton p 305) so that

$$\begin{aligned} \lambda &= N_A \left(\frac{8}{\pi\mu}\right)^{1/2} \left(\frac{1}{kT}\right)^{3/2} e^{-\tau} \frac{\Delta}{2} S(E_o) \int_{-\infty}^\infty \exp[-x^2] dx \\ &= N_A \left(\frac{8}{\pi\mu}\right)^{1/2} \left(\frac{1}{kT}\right)^{3/2} e^{-\tau} \frac{\Delta}{2} S(E_o) \sqrt{\pi} \\ &= N_A \left(\frac{2}{\mu}\right)^{1/2} \left(\frac{1}{kT}\right)^{3/2} e^{-\tau} \Delta S(E_o) \\ &\left(\frac{\Delta}{(kT)^{3/2}}\right) = \frac{4}{9\sqrt{3}\pi\eta E^{1/2}} \tau^2 \end{aligned}$$

In that case, the integral of a Gaussian is analytic

$$N_A \langle \sigma v \rangle = \frac{4.34 \times 10^8}{\hat{A} Z_I Z_J} S(E_o) \tau^2 e^{-\tau} \text{ cm}^3 / (\text{Mole s})$$

Clayton 4-54ff uses S in keV b, otherwise the same answer.

where $S(E_o)$ is measured in MeV barns. If we define

$$\lambda_{jk} = N_A \langle \sigma_{jk} v \rangle$$

then a term in the rate equation for species I such as $Y_j \rho \lambda_{jk}$ has units

$$\left(\frac{\text{Mole}}{\text{gm}}\right) \left(\frac{\text{gm}}{\text{cm}^3}\right) \left(\frac{\text{cm}^3}{\text{Mole s}}\right) = \text{s}^{-1}$$

Different people use different conventions for λ which sometimes do or do not include ρ or N_A . This defines mine. Clayton does not include N_A .

Note that τ here is

$$\tau = \frac{3E_o}{kT} = 4.248 \left(\frac{Z_I^2 Z_J^2 \hat{A}}{T_9}\right)^{1/3}$$

differs from Clayton which measures T in 10^6 K

$$\begin{aligned} \frac{\lambda}{N_A} &= \left(\frac{2}{\mu}\right)^{1/2} \frac{4}{9\sqrt{3}\pi(0.1575 Z_I Z_J \sqrt{\hat{A}})} \tau^2 e^{-\tau} S(E_o) \text{ MeV}^{1/2} \text{ amu}^{-1/2} \text{ barn} \\ &= \frac{7.2 \times 10^{-16}}{\hat{A} Z_I Z_J} \tau^2 e^{-\tau} S(E_o) \text{ cm}^3 \text{ s}^{-1} \quad (\text{Clay 4-56}) \end{aligned}$$

$$\lambda = N_A \langle \sigma v \rangle = \frac{4.34 \times 10^8}{\hat{A} Z_I Z_J} S(E_o) \tau^2 e^{-\tau} \text{ cm}^3 / (\text{Mole s})$$

nb. The unit conversion factor is $10^{-24} * (6.02 \times 10^{23} \cdot 1.602 \times 10^{-6})^{1/2}$
 $\mu = \hat{A} \text{ amu}$

Adelberger et al, RMP, (1998)

TABLE I. Best-estimate low-energy nuclear reaction cross-section factors and their estimated 1σ errors.

Reaction	$S(0)$ (keV b)	$S'(0)$ (b)
${}^1\text{H}(p, e^+ \nu_e){}^2\text{H}$	$4.00(1 \pm 0.007_{-0.011}^{+0.020}) \times 10^{-22}$	4.48×10^{-24}
${}^1\text{H}(pe^-, \nu_e){}^2\text{H}$	Eq. (19)	
${}^3\text{He}({}^3\text{He}, 2p){}^4\text{He}$	$(5.4 \pm 0.4)^a \times 10^{-3}$	
${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$	0.53 ± 0.05	-3.0×10^{-4}
${}^3\text{He}(p, e^+ \nu_e){}^4\text{He}$	2.3×10^{-20}	
${}^7\text{Be}(e^-, \nu_e){}^7\text{Li}$	Eq. (26)	
${}^7\text{Be}(p, \gamma){}^8\text{B}$	$0.019_{-0.002}^{+0.004}$	See Sec. VIII.A
${}^{14}\text{N}(p, \gamma){}^{15}\text{O}$	$3.5_{-1.6}^{+0.4}$	See Sec. IX.A.5

$$f = \tau^2 e^{-\tau} \quad \tau = \frac{A}{T^{1/3}} \quad \frac{d\tau}{dT} = -\frac{A}{3T^{4/3}} = -\frac{\tau}{3T}$$

$$\frac{df}{dT} = 2\tau e^{-\tau} \frac{d\tau}{dT} - \tau^2 e^{-\tau} \frac{d\tau}{dT}$$

$$\frac{T}{f} \left(\frac{df}{dT} \right) = \frac{T}{\tau^2 e^{-\tau}} (2\tau e^{-\tau}) \left(-\frac{\tau}{3T} \right) - \frac{T}{\tau^2 e^{-\tau}} (\tau^2 e^{-\tau}) \left(-\frac{\tau}{3T} \right)$$

$$= \left(\frac{d \ln f}{d \ln T} \right) = \frac{\tau - 2}{3}$$

$$\therefore f \propto T^n$$

$$n = \frac{\tau - 2}{3}$$

For example, ${}^{12}\text{C} + {}^{12}\text{C}$ at $8 \times 10^8 \text{ K}$

$$\tau = 4.248 \left(\frac{6^2 6^2 \frac{12 \cdot 12}{12+12}}{0.8} \right)^{1/3}$$

$$= 90.66$$

$$n = \frac{90.66 - 2}{3} = 29.5$$

$p + p$ at $1.5 \times 10^7 \text{ K}$

$$\tau = 4.248 \left(\frac{1 \cdot 1 \cdot \frac{1 \cdot 1}{1+1}}{0.015} \right)^{1/3}$$

$$= 13.67$$

$$n = \frac{13.67 - 2}{3} = 3.89$$

Thus “non-resonant” reaction rates will have a temperature dependence of the form

$$\lambda \sim \frac{\text{Constant}}{T^{2/3}} \exp\left(-\frac{\text{constant}}{T^{1/3}}\right) \quad \tau^2 e^{-\tau}$$

This is all predicated upon $S(E_0)$ being constant, or at least slowly varying. This will be the case provided:

- i) $E \ll E_{\text{Coul}}, \ell = 0$
- ii) All narrow resonances, if any, lie well outside the Gamow “window”

$$E_0 \pm \Delta / 2$$

That is there are no resonances *or* there are very many overlapping resonances

- iii) No competing reactions (e.g., (p, n) , (p, α) vs (p, γ)) open up in the Gamow window

Resonant Reactions

In general, there are four categories of strong and electromagnetic reactions determined by the properties of resonances through which each proceeds

- $S(E) \sim \text{const}$
 - Truly non-resonant reactions (direct capture and the like)
- $S(E) \sim \text{const}$
 - Reactions that proceed through the tails of broad distant resonances
- $S(E)$ highly variable
 - Reactions that proceed through one or a few “narrow” resonances within the “Gamow window”
- $S(E) \sim \text{const}$
 - Reactions that have a very large number of resonances in the “Gamow window”

Reaction Mechanisms

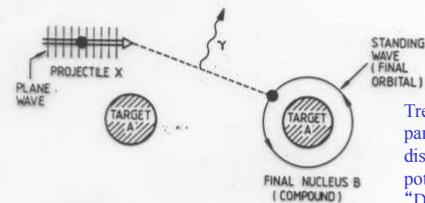
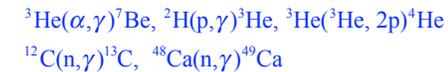
1) Direct Capture - an analogue of atomic radiative capture

The target nucleus and incident nucleon (or nucleus) react without a sharing of energy among all the nucleons. An example be the direct radiative capture of a neutron or proton and the immediate ejection of one or more photons. The ejected photons are strongly peaked along the trajectory of the incident projectile. The reaction time is very short, $\sim R/c \sim 10^{-21}$ s.

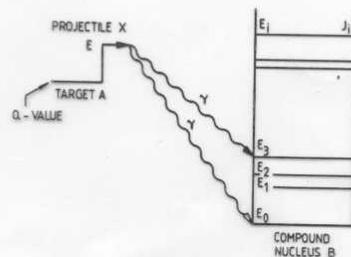
This sort of mechanism dominates at high energy (greater than about 20 MeV, or when there are no strong resonances in or near the Gamow window. It is especially important at low energies in light nuclei where the density of resonances is very low.

The S-factor for direct capture is smooth and featureless.

Examples:



Treating the incoming particle as a plane wave distorted by the nuclear potential results in the “Distorted Wave Born Approximation” often used to calculate direct reactions.



Here the incoming particle is represented as a plane wave which goes directly to a standing wave with orbital angular momentum l in the final nucleus.

FIGURE 4.9. Illustrated is a capture reaction $A(x, \gamma)B$, where the entrance channel $A + x$ goes directly to states in the final compound nucleus B with the emission of γ -radiation. This process is called a direct-capture reaction and can occur for all energies E of the projectile x .

The process involves a single matrix element and is thus a single step process. Direct capture is analogous to bremsstrahlung in atoms.

Direct capture provides a mechanism for reaction in the absence of resonances. Usually DC cross sections are much smaller than resonant cross sections on similar nuclei - if a resonance is present.

2) Resonant Reaction:

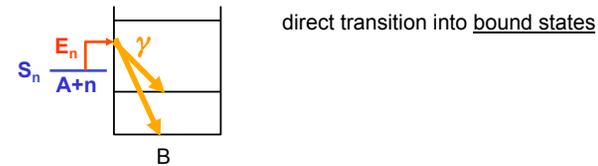
A two step reaction in which a relatively long-lived excited state of the “compound nucleus” is formed – the “resonance”. This state decays statistically without any memory (other than energy and quantum numbers) of how it was produced. The outgoing particles are not peaked along the trajectory of the incident particle. (This is called the “Bohr hypothesis” or the “hypothesis of nuclear amnesia”). The presence of a resonance says that the internal structure of the nucleus is important and that a “long-lived” state is being formed.

Resonances may be broad or narrow. The width is given by the (inverse of the) lifetime of the state and the uncertainty principle.

$$\Delta E \Delta t \sim \hbar$$

Generally states that can decay by emitting a neutron or proton will be broad (if the proton has energy greater than the Coulomb barrier. Resonances will be narrow if they can only decay by emitting a photon or if the charged particle has energy \ll the Coulomb barrier..

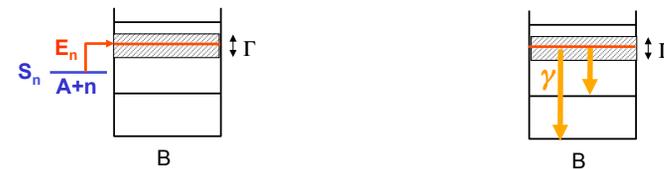
I. Direct reactions (for example, direct radiative capture)



II. Resonant reactions (for example, resonant capture)

Step 1: Compound nucleus formation (in an unbound state)

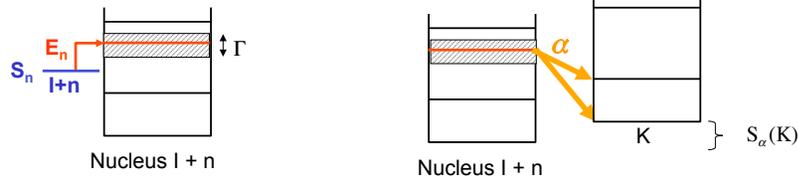
Step 2: Compound nucleus decay



Other (non-radiative) channels

Step 1: Compound nucleus formation (in an unbound state)

Step 2: Compound nucleus decay non-radiative channel



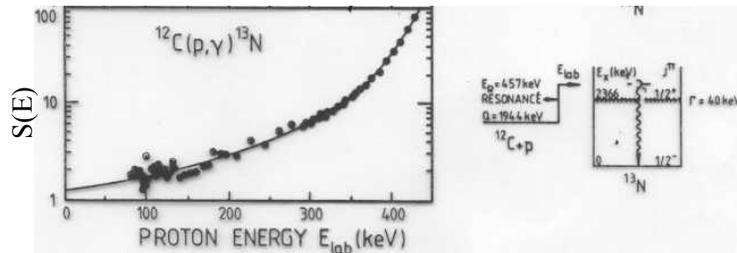
Not all reactions emit radiation and stay within the original compound nucleus. One may temporarily form a highly excited state that decays by ejecting e.g., n, p, or alpha-particle. E.g., $I(n,\alpha)K$:

One or more resonances may be present in the Gamow energy window, in which case their contributions are added, or there may be a broad resonance just outside the Gamow energy window, either above or below.

The S-factor will be smooth in this latter case. In the case of one or a few narrow resonances it will definitely not be smooth. In the case of many broad overlapping resonances, it will be smooth again.

Resonances may be broad if they can decay by emitting a neutron, proton or alpha-particle. For example, the 2.366 MeV ($1/2^+$) excited state of ^{13}N is broad because it can emit an energetic proton. That same state can serve as a resonance for the reaction $^{12}\text{C}(p,\gamma)^{13}\text{N}$ which has a Q-value, $Q_{p\gamma} = 1.944$ MeV

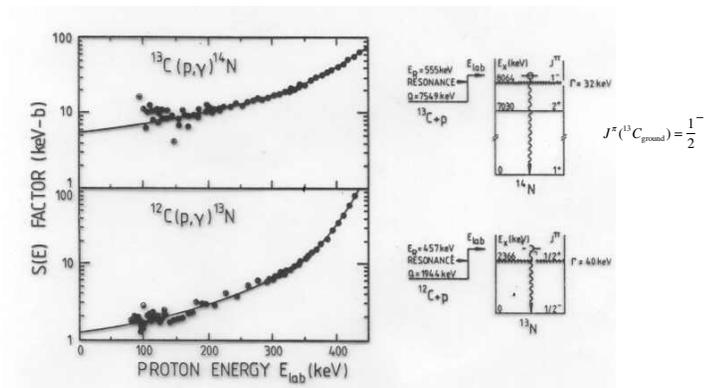
$^{13}\text{C}(p,\gamma)^{14}\text{N}$ is similar



$$\frac{13}{12}(422) = 457$$

2.366 Excitation energy
 - 1.944 Q value for (pγ)
 0.422 MeV Threshold c/m

The energy scale is given in the center of mass
 fram (422 keV) needs to be converted to the lab
 frame to compare with lab data. Multiply by
 $(A_1+A_2)/(A_1A_2)$

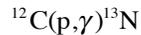


$$J^\pi(^{13}\text{C}_{\text{ground}}) = \frac{1}{2}^-$$

what *l*-waves contribute?

For both cases the S factor is slowly varying in the Gamow “window”.

Say hydrogen burning at 2×10^7 K, or $T_9 = 0.020$



$$E_{\text{Gamow}} = 0.122 \left(6^2 1^2 \frac{12 \cdot 1}{12+1} 0.02^2 \right)^{1/3} = 0.0289 \text{ MeV} = 28.9 \text{ keV}$$

$$\Delta = 0.237 \left(6^2 1^2 \frac{12 \cdot 1}{12+1} 0.02^5 \right)^{1/6} = 0.0163 \text{ MeV} = 16.3 \text{ keV}$$

Note on the previous pages, there is no data at energies this low.
 As is generally the case, one must extrapolate the experimental
 date to lower energies than are experimentally accessible. The
 S-factor is useful for this.

Consider, however, the reaction $^{24}\text{Mg}(p,\gamma)^{25}\text{Al}$

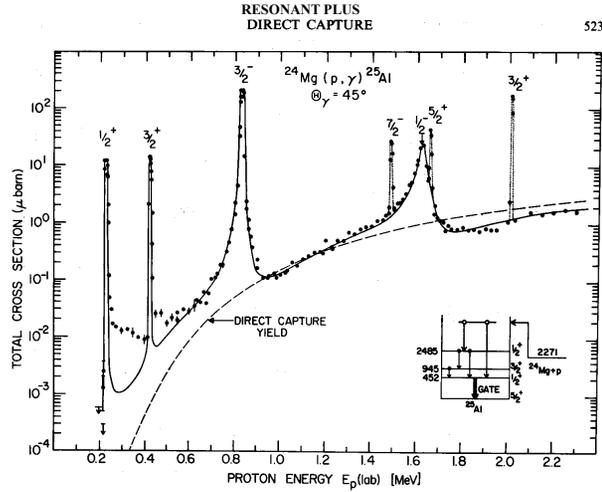
This reaction might be of interest either in hot hydrogen burning
 at 30 million K or in carbon burning at 800 million K. Consider the
 latter.

$$E_{\text{Gamow}} = 0.122 \left(12^2 1^2 \frac{24 \cdot 1}{24+1} 0.8^2 \right)^{1/3} = 0.543 \text{ MeV}$$

$$\Delta = 0.237 \left(12^2 1^2 \frac{24 \cdot 1}{25+1} 0.8^5 \right)^{1/6} = 0.447 \text{ MeV}$$

Now three resonances and direct capture contribute.

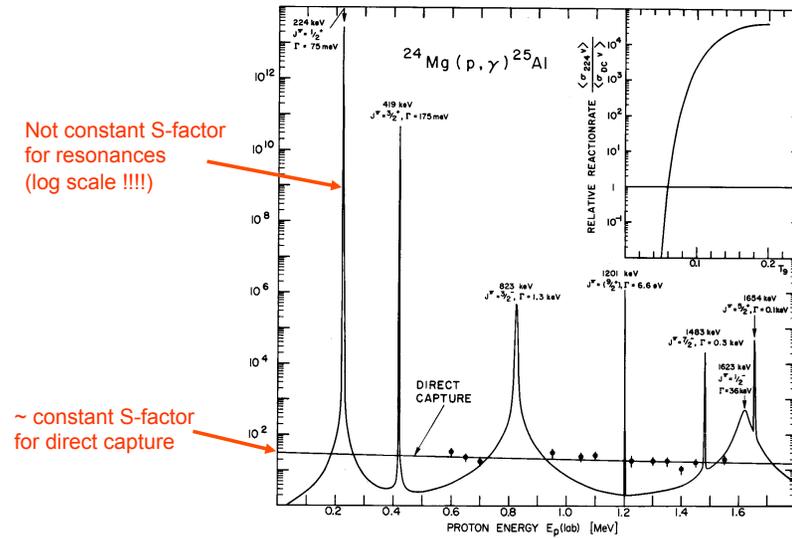
Another Example:



Resonance contributions are on top of direct capture cross sections

... and the corresponding S-factor

Note varying widths and effects for $E \gg \Gamma$!



Not constant S-factor for resonances (log scale !!!!)

~ constant S-factor for direct capture

How to calculate?

Decaying states in general have an energy distribution given by the Breit-Wigner or Cauchy distribution (Clayton 3-103)*. The normalized probability that the state has energy E is

$$P(E)dE = \frac{\Gamma/2\pi dE}{(E - \epsilon_r)^2 + (\Gamma/2)^2}$$

where

$$\Gamma = \frac{\hbar}{\tau}$$

nb. units of energy
but rather like a rate

and τ is the lifetime

* Solve wave function for a quasistationary state subject to the constraint that $\int |\psi_k|^2 = \exp(-t/\tau)$. Take Fourier transform of $\psi(t)$ to get $\phi(E)$ and normalize.

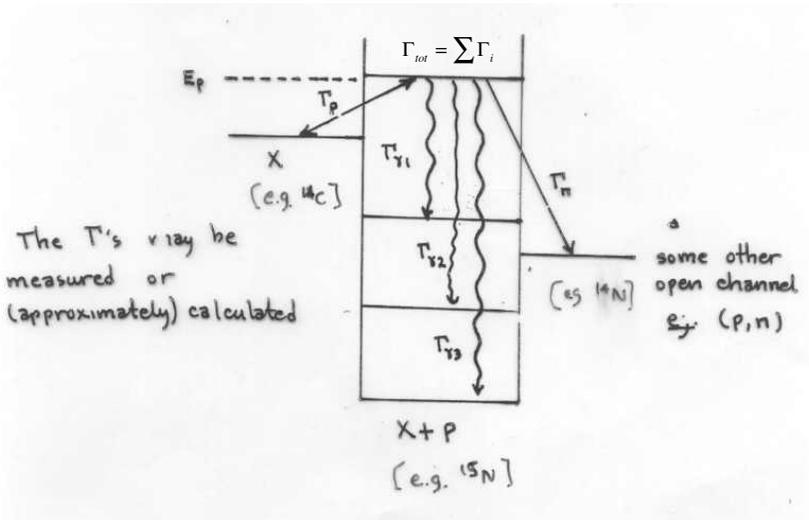
If a reaction is dominated by narrow resonances, its cross section will be given by the Breit-Wigner equation (see page 347 Clayton, also probs. 3-7 and eq. 3-103).

$$\sigma_{jk}(E) = \pi \lambda^2 \omega \frac{\Gamma_j \Gamma_k}{(E - \epsilon_r)^2 + \Gamma_{tot}^2 / 4} \quad \omega = \frac{2J_r + 1}{(2J_l + 1)(2J_j + 1)}$$

The Γ 's are the partial widths (like a probability but with dimensions of energy) for the resonance to break up into various channels. **These now contain the penetration factors.** The lifetime of a resonance is

$$\tau = \frac{\hbar}{\Gamma_{tot}} \quad \Gamma_{tot} = \sum \Gamma_k \quad \hbar = 6.582 \times 10^{-22} \text{ MeV sec}$$

This cross section will be sharply peaked around ϵ_r , with a width Γ_{tot}



The cross section contribution due to a single resonance is given by the Breit-Wigner formula:

$$\sigma(E) = \pi \lambda^2 \cdot \omega \cdot \frac{\Gamma_1 \Gamma_2}{(E - E_r)^2 + (\Gamma / 2)^2}$$

Usual geometric factor
 $= \frac{0.656}{A} \frac{1}{E}$ barn

Spin factor:
 $\omega = \frac{2J_r + 1}{(2J_1 + 1)(2J_2 + 1)}$

$\propto \Gamma_1$ Partial width for decay of resonance by emission of particle 1
 = Rate for formation of Compound nucleus state

$\propto \Gamma_2$ Partial width for decay of resonance by emission of particle 2
 = Rate for decay of Compound nucleus into the right exit channel

Γ = Total width is in the denominator as a large total width reduces the maximum probabilities (on resonance) for decay into specific channels.

Rate of reaction through a narrow resonance

Narrow means: $\Gamma \ll \Delta E$

In this case, the resonance energy must be "near" the relevant energy range ΔE to contribute to the stellar reaction rate.

Recall: $\langle \sigma v \rangle = \sqrt{\frac{8}{\pi \mu}} \frac{1}{(kT)^{3/2}} \int_0^\infty \sigma(E) E e^{-\frac{E}{kT}} dE$ (pull out front)

and $\sigma(E) = \pi \lambda^2 \omega \frac{\Gamma_1(E) \Gamma_2(E)}{(E - E_r)^2 + (\Gamma(E) / 2)^2}$

$$\sigma = \pi \lambda^2 \omega \frac{\Gamma_1 \Gamma_2}{(E - E_r)^2 + (\Gamma / 2)^2}$$

$$\int_0^\infty \sigma(E) dE \approx \pi \lambda_r^2 \omega \Gamma_1(E_r) \Gamma_2(E_r) \int_0^\infty \frac{dE}{(E - E_r)^2 + (\Gamma_r / 2)^2}$$

$$\underbrace{\int_0^\infty \frac{dE}{(E - E_r)^2 + (\Gamma_r / 2)^2}}_{\frac{2\pi}{\Gamma_r}}$$

For a narrow resonance assume:

- M.B. distribution $\Phi(E) \propto E e^{-\frac{E}{kT}}$ constant over resonance $\Phi(E) \approx \Phi(E_r)$
- All widths $\Gamma(E)$ constant over resonance $\Gamma_i(E) \approx \Gamma_i(E_r)$
- λ^2 constant over resonance

Then one can carry out the integration analytically (Clayton 4-193) and finds:

For the contribution of a single narrow resonance to the stellar reaction rate:

$$N_A \langle \sigma v \rangle = 1.54 \cdot 10^{11} (AT_9)^{-3/2} \omega\gamma [\text{MeV}] e^{-\frac{11.605 E_r [\text{MeV}]}{T_9}} \frac{\text{cm}^3}{\text{s mole}}$$

The rate is entirely determined by the “resonance strength” $\omega\gamma$

$$\omega\gamma = \frac{2J_r + 1}{(2J_j + 1)(2J_i + 1)} \frac{\Gamma_1 \Gamma_2}{\Gamma}$$

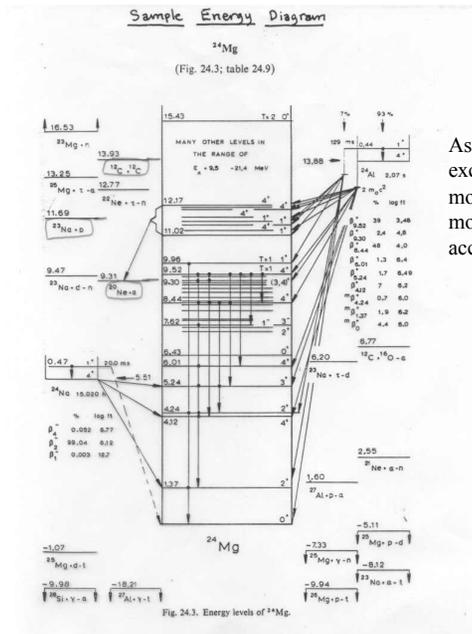
Which in turn depends mainly on the total and partial widths of the resonance at resonance energies.

Often $\Gamma = \Gamma_1 + \Gamma_2$ Then for $\Gamma_1 \ll \Gamma_2 \longrightarrow \Gamma \approx \Gamma_2 \longrightarrow \frac{\Gamma_1 \Gamma_2}{\Gamma} \approx \Gamma_1$
 $\Gamma_2 \ll \Gamma_1 \longrightarrow \Gamma \approx \Gamma_1 \longrightarrow \frac{\Gamma_1 \Gamma_2}{\Gamma} \approx \Gamma_2$

And reaction rate is determined by the smaller one of the widths !

Illiadis Table 4.12

Reaction	E_r^{lab} (keV)	J^π	$\omega\gamma_{\text{cm}}$ (eV)	Error (%)	Reference
$^{14}\text{N}(p,\gamma)^{15}\text{O}$	278	$1/2^+$	$1.37(7) \times 10^{-2}$	5.1	h
$^{18}\text{O}(p,\gamma)^{19}\text{F}$	151	$1/2^+$	$9.7(5) \times 10^{-4}$	5.2	g
$^{23}\text{Na}(p,\alpha)^{20}\text{Ne}$	338	1^-	$7.16(29) \times 10^{-2}$	4.0	a
$^{23}\text{Na}(p,\gamma)^{24}\text{Mg}$	512	$(1,2^+)$	$9.13(125) \times 10^{-2}$	13.7	b
$^{24}\text{Mg}(p,\gamma)^{25}\text{Al}$	223	$1/2^+$	$1.27(9) \times 10^{-2}$	7.1	c
	419	$3/2^+$	$4.16(26) \times 10^{-2}$	6.2	d
$^{25}\text{Mg}(p,\gamma)^{26}\text{Al}$	435	4^-	$9.42(65) \times 10^{-2}$	6.9	d
	591	1^+	$2.28(17) \times 10^{-1}$	7.4	e
$^{26}\text{Mg}(p,\gamma)^{27}\text{Al}$	338	$3/2^-$	$2.73(16) \times 10^{-1}$	5.9	d
	454	$1/2^+$	$7.15(41) \times 10^{-1}$	5.7	d
	1966	$5/2^+$	5.15(45)	8.7	b
$^{27}\text{Al}(p,\gamma)^{28}\text{Si}$	406	4^+	$8.63(52) \times 10^{-3}$	6.0	d
	632	3^-	$2.64(16) \times 10^{-1}$	6.1	b
	992	3^+	1.91(11)	5.7	b
$^{30}\text{Si}(p,\gamma)^{31}\text{P}$	620	$1/2^-$	1.95(10)	5.1	b
$^{31}\text{P}(p,\gamma)^{32}\text{S}$	642	1^-	$5.75(50) \times 10^{-2}$	8.7	b
	811	2^+	$2.50(20) \times 10^{-1}$	8.0	b
$^{34}\text{S}(p,\gamma)^{35}\text{Cl}$	1211	$7/2^-$	4.50(50)	11.1	b
$^{35}\text{Cl}(p,\gamma)^{36}\text{Ar}$	860	3^-	$7.00(100) \times 10^{-1}$	14.3	b
$^{36}\text{Ar}(p,\gamma)^{37}\text{K}$	918	$5/2^+$	$2.38(19) \times 10^{-1}$	8.0	f
$^{37}\text{Cl}(p,\gamma)^{38}\text{K}$	846	1^-	$1.25(16) \times 10^{-1}$	12.8	b
$^{39}\text{K}(p,\gamma)^{40}\text{Ca}$	2042	1^+	1.79(19)	10.6	b
$^{40}\text{Ca}(p,\gamma)^{41}\text{Sc}$	1842	$7/2^+$	$1.40(15) \times 10^{-1}$	10.7	b



As one goes up in excitation energy many more states and many more reactions become accessible.

As one goes to heavier nuclei and/or to higher excitation energy in the nucleus, the number of excited states, and hence the number of potential resonances increases exponentially.

Why? The thermal energy of a non-relativistic, nearly degenerate gas (i.e., the nucleus) has a leading term that goes as T^2 where T is the “nuclear temperature. The energy, E , of a degenerate gas from an expansion of Fermi integrals is:

$$E = f(\rho) + a(kT)^2 + b(kT)^4 + \dots$$

here ρ is the density and Ω is the partition function

One definition of temperature is

$$\frac{1}{kT} = \frac{\partial \ln \Omega}{\partial E} \quad \frac{1}{T} = \frac{\partial S}{\partial E} \quad S = k \ln \Omega \text{ defines } T$$

where Ω is the number of states (i.e., the partition function)

$$\frac{\partial \ln \Omega}{\partial T} = \frac{\partial \ln \Omega}{\partial E} \frac{\partial E}{\partial T}$$

$$d \ln \Omega \sim \frac{1}{kT} \left(\frac{\partial E}{\partial T} \right) dT \sim \frac{1}{kT} (2ak^2T) dT$$

$$\ln \Omega \sim 2ak \int dT = 2akT + \text{const}$$

$$\Omega \sim C \exp(2akT)$$

and if we identify the excitation energy $E_x \approx a(kT)^2$,
i.e., the first order thermal correction to the internal energy, then

$$(kT)^2 \sim \frac{E_x}{a}$$

The number of excited states (resonances) per unit excitation energy increases exponentially with excitation energy.

$$\Omega = C \exp(2\sqrt{aE_x})$$

Empirically $a \approx A/9$. There are corrections to a for shell and pairing effects. In one model (back-shifted Fermi gas)

$$C = \frac{0.482}{A^{5/6} E_x^{3/2}}$$

This gives the Hauser-Feshbach formula for estimating cross sections where the density of resonances is high.

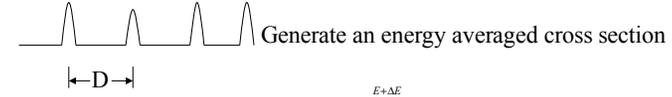
$$\bar{\sigma}_{jk}(E) = \frac{\pi \lambda^2}{(2J_l + 1)(2J_j + 1)} \sum_{\text{all } J_r^{\pi}} (2J_r + 1) \frac{T_j^l(J^{\pi}, E) T_k^l(J^{\pi}, E)}{T_{\text{tot}}(J^{\pi}, E)}$$

Expressions for the transmission functions for n, p, α , and γ are given in Woosley et al, ADNDT, 22, 378, (1978). See also the appendix here. A transmission function is like an average strength function for the reaction over the energy range of interest. It includes the penetration function. It is dimensionless and less than 1.

This formula has been used to generate thousands of cross sections for nuclei with A greater than about 24. **The general requirement is many (> 10) resonances in the Gamow window.**

What is the cross section when the density of resonances is large?

Take N ($\gg 1$) equally spaced identical resonances in an energy interval ΔE . For example, assume they all have the same partial widths.



$$\langle \sigma \rangle = \frac{\int_E^{E+\Delta E} \sigma(E) dE}{\Delta E} \approx \frac{1}{\Delta E} \int_E^{E+\Delta E} \sum_1^N \frac{\omega \Gamma_j \Gamma_k dE}{(E - \epsilon_r)^2 + \Gamma_r^2 / 4}$$

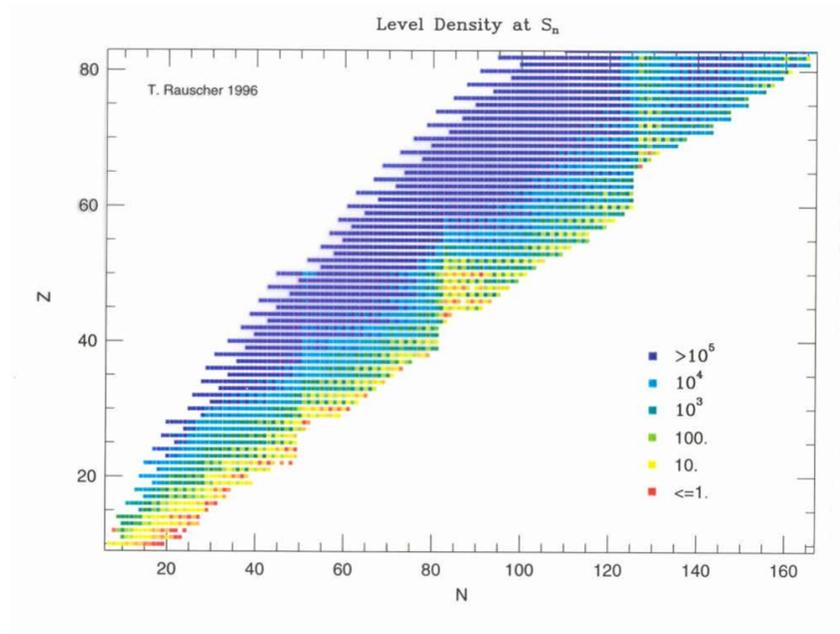
$D \ll \Delta E$

$$\approx \frac{\omega \Gamma_j \Gamma_k}{\Delta E} N \int_0^{\infty} \frac{dE}{(E - \epsilon_r)^2 + \Gamma_r^2 / 4}$$

$$\int_0^{\infty} \frac{dE}{(E - \epsilon_r)^2 + \Gamma_r^2 / 4} = \frac{2\pi}{\Gamma_r} \quad \frac{N}{\Delta E} = \frac{1}{D}$$

$$\langle \sigma \rangle = 2\pi^2 \lambda^2 \omega \frac{\Gamma_j \Gamma_k}{\Gamma_r D} = \pi \lambda^2 \omega \frac{T_j T_k}{T_{\text{tot}}}$$

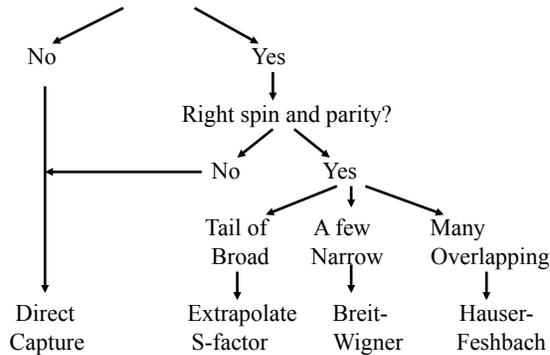
where $T_j = 2\pi \left(\frac{\Gamma_j}{D} \right)$



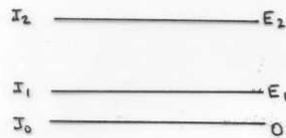
Summary of reaction mechanisms

$I(j,k)L$

- Add to Q-value and look inside nucleus $I+j$
- Any resonances nearby or in window



- Target in excited state effects – in the laboratory the target is always in its ground state. In a star, it may not be



In equilibrium (not always true), use Saha equation.

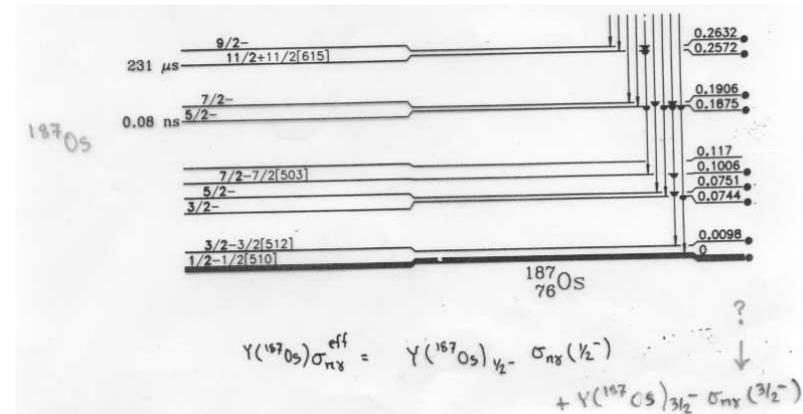
$$n(\text{tot}) = n_0 + n_1 + n_2 \dots$$

$$n_i = \frac{(2J_i + 1) e^{-E_i/KT}}{g_{\text{tot}}} n(\text{tot})$$

$$g_{\text{tot}} = \sum_i (2J_i + 1) e^{-E_i/KT}$$

Special Complications in Astrophysics

- Low energy = small cross section – experiments are hard.
- Very many nuclei to deal with (our networks often include 1600 nuclei; more if one includes the r-process)
- The targets are often radioactive and short lived so that the cross sections cannot be measured in the laboratory (^{56}Ni , ^{44}Ti , ^{26}Al , etc)
- Sometimes even the basic nuclear properties are not known - binding energy, lifetime. E.g., the r-process and the rp-process which transpire near the neutron and proton-drip lines respectively.
- Unknown resonances in many situations



- Electron screening

Nuclei are always completely ionized – or almost completely ionized at temperature in stars where nuclear fusion occurs. But the density may be sufficiently high that two fusing nuclei do not experience each others full Coulomb repulsion.

This is particularly significant in Type Ia supernova ignition.

Roughly the ion sphere is the volume over which a given ion can "polarize" the surrounding electron cloud when that cloud has a thermal energy $\sim kT$. Its size is given by equating thermal kinetic energy to electrical potential energy. The charge within such a cloud is (Volume)($n_e e$) and the charge on each ion is Ze . The volume is $\frac{4}{3} \pi R_D^3$ and $n_e = Zn_z$. So

$$PE = \frac{\left(\frac{4}{3} \pi R_D^3\right) (Zn_z e) (Ze)}{R_D} \sim kT$$

$$R_D \sim \left(\frac{kT}{\frac{4}{3} \pi e^2 Z^2 n_z}\right)^{1/2}$$

Compare with Clayton 2-235

Differs by $\sqrt{3}$

$$\rho N_A Y_Z = n_z$$

In general must include more than one kind of ions and the interaction among electrons and among ions, not only between ions and electrons.

These "Coulomb correction" affect the pressure and energy of a gas, not just reaction rates

Electron screening is generally treated in two limiting cases.

Weak screening: (Salpeter 1954)

The electrical potential of the ion is adjusted to reflect the presence of induced polarization in the background electrons. The characteristic length scale for this screening is the Debye length

$$R_D = \left(\frac{kT}{4\pi e^2 \rho N_A \zeta}\right)^{1/2} \quad \zeta = \sum (Z_i^2 + Z_i) Y_i$$

Clayton 2-238 and discussion before

This is the typical length scale for the clustering of charge in the plasma. Weak screening holds if $R_D \gg n_z^{-1/3}$

The modified Coulomb potential is then

$$V = \frac{e^2 Z}{r} \exp(-r / R_D)$$

Clayton eq. 4-215 and discussion leading up to it shows that, in the limit that $R_D \gg$ the inter-ion separation, then the effect of screening is an overall reduction of the Coulomb potential by an energy

$$U_o = \frac{Z_i Z_j e^2}{R_D}$$

This potential does not vary greatly over the region where the rate integrand is large (Gamow energy)

e.g., the screening for p+p at the solar center is about 5% - Iliadis P 210

The leading order term in the screening correction (after considering Maxwell Boltzmann average) is then (Clayton 4-221; see also Iliadis 3.143)

$$U_0 \ll kT \quad f \approx 1 - \frac{U_0}{kT} = 1 + 0.188 Z_1 Z_j \rho^{1/2} \zeta^{1/2} T_6^{-3/2}$$

Strong screening: Salpeter (1954); Salpeter and van Horn (1969)

If R_D becomes less than the inter-ion spacing, then the screening is no longer weak. Each ion of charge Z is individually screened by Z electrons. The radius of the “ion sphere” is

$$R_Z = \left(\frac{3Z}{4\pi n_e} \right)^{1/3} \quad \text{i.e.} \quad \frac{4\pi R_Z^3}{3} n_e = Z$$

Appendix: Barrier Penetration and Transmission Functions

Clayton 2-262, following Salpeter (1954) shows that the total potential energy of the ion sphere, including both the repulsive interaction of the electrons among themselves and the attractive interaction with the ions, is

$$U = -\frac{9}{10} \left(\frac{(Ze)^2}{R_Z} \right) = -17.6 Z^{5/3} (\rho Y_e)^{1/3} \text{ eV} \ll \text{Gamow energy } E_0$$

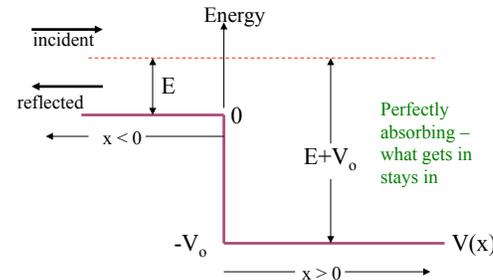
and the correction factor to the rate is $\exp(-U_0 / kT) \gg 1$ with

$$-U_0 = 17.6 (\rho Y_e)^{1/3} \left[(Z_1 + Z_j)^{5/3} - Z_1^{5/3} - Z_j^{5/3} \right] \text{ eV} \quad (\text{Cla 4-225})$$

More accurate treatments are available, but this can clearly become very large at high density. See Itoh et al. *ApJ*, **586**, 1436, 2003

Reflection at a Potential Change

For simplicity consider the case where the incident particle has no charge, i.e., a neutron, and take angular momentum, $l = 0$.



In QM there exists reflection whether V increases or decreases

$$E = \frac{p^2}{2\mu} \quad \frac{\sqrt{2\mu E}}{\hbar} = \frac{p}{\hbar} = \frac{2\pi}{\lambda} = \frac{1}{\lambda} = k$$

Wave number for incident particles $k = \frac{\sqrt{2\mu E}}{\hbar} \quad x < 0$

inside well $K = \frac{\sqrt{2\mu(E + V_0)}}{\hbar} \approx \frac{\sqrt{2\mu V_0}}{\hbar}$

$$\Psi(x) = Ae^{ikx} + Be^{-ikx} \quad x < 0 \quad \text{Incident wave plus reflected wave}$$

$$= Ce^{ikx} \quad x > 0 \quad \text{Wave traveling to the right}$$

$\Psi(x), \Psi'(x)$ continuous implies at $x=0, A+B=C$
 $ikA - ikB = iKC$

$$\Rightarrow \frac{B}{A} = \frac{1 - \frac{K}{k}}{1 + \frac{K}{k}}$$

$$T = 1 - \left| \frac{B}{A} \right|^2 = \frac{(1 + \frac{K}{k})^2 - (1 - \frac{K}{k})^2}{(1 + \frac{K}{k})^2} = \frac{4K/k}{(1 + \frac{K}{k})^2} = \frac{4Kk}{(k+K)^2}$$

The fraction that "penetrates" to the region with the new potential.

and if $E \ll V_o$

$$T = \frac{4k}{K} = \frac{4\pi kR}{\pi KR} = \frac{4\pi\rho}{\pi KR} = 4\pi S f \rho P_o$$

where $S = \frac{1}{\pi KR}$ is the "black nucleus strength function"

recall $\rho P_o = \rho = kR$

f corrects empirically for the fact that the nucleus is not purely absorptive at radius R

It is customary to define the transmission function for particles (not photons) as

$$T = 4\pi S f(\rho P_i)$$

where S , the strength function, could be thought of in terms of resonance properties as

$$S = \frac{\Gamma_j}{D} = \frac{3\hbar^2 \theta_j^2}{\mu R^2 D} \quad (\text{see 3 pages ahead})$$

which is a constant provided that $\theta_j^2 \propto D$, the level spacing.

This is consistent with the definition

$$T = 2\pi \left\langle \frac{\Gamma}{D} \right\rangle$$

Here " r " is the "reflection factor", empirically 2.7 for n and p and 4.8 for alpha-particles, which accounts for the fact that the reflection is less when the potential does not have infinitely sharp edges at R . Hence the transmission is increased.

Though for simplicity we took the case $l = 0$ and $Z = 0$ here, the result can be generalized to reactants with charge and angular momentum

For $Z=0$ $\rho P_0 = \rho$ $l=0$

$\rho P_1 = \frac{\rho^3}{1 + \rho^2}$ $l=1$

$\rho P_2 = \frac{\rho^5}{9 + 3\rho^2 + \rho^4}$ $l=2$

For $Z > 0$

$$\eta = \frac{Z_1 Z_2 e^2}{\hbar v} = 0.1575 Z_1 Z_2 \sqrt{\frac{\lambda}{E(\text{MeV})}}$$

$$\rho = \sqrt{\frac{2\mu E}{\hbar^2}} R_o = 0.2187 \sqrt{\lambda E} R_o (fm)$$

$$\rho P_l = \frac{\rho}{F_l^2(\eta, \rho) + G_l^2(\eta, \rho)}$$

But actually the strength function is parameterized in terms of the black nucleus approximation used in the transmission function calculation. Unknown parameters are fit to data.

For nuclei $A < 65$

$$R = 1.25 A^{1/3} + 0.1 \text{ fm} \quad \text{for n,p}$$

$$1.09 A^{1/3} + 2.3 \text{ fm} \quad \text{for alpha particles}$$

$$S = \frac{1}{\pi KR} \quad K = \sqrt{\frac{2\mu V_o}{\hbar^2}} \quad V_o \approx 60 \text{ MeV}$$

This is what is used in the Hauser Feshbach formalism

Analogously the *photon* transmission function is defined as:

$$T_\gamma = 2\pi \left\langle \frac{\Gamma_\gamma}{D} \right\rangle = \text{Strength function} * \text{phase space factor}$$

Phase space $\sim E_\gamma^3$ for dipole radiation
 E_γ^5 for quadrupole radiation

The strength function is usually taken to be a constant or else given a "Giant Dipole" (Lorentzian) form.

The transmission functions to the ground state and each excited state are calculated separately and added together to get a total photon transmission function.

The decay rate of the state is qualitatively given by (Clayton p 331) aside:

$\lambda \equiv$ probability/sec for particle from decaying system to cross large spherical shell

$\lambda = \frac{1}{\tau} = v P_i$ = velocity at infinity * penetration factor * probability per unit dr

that the particle is at the nuclear radius $\pm dr$

$$= \frac{\Gamma}{\hbar} = v P_i \frac{3}{R} \theta^2 = \frac{\hbar \rho}{\mu R} \frac{3}{R} P_i \theta^2 = \frac{3\hbar}{\mu R^2} \rho P_i \theta^2$$

where $\frac{3}{R} = \frac{4\pi R^2 dr}{4/3\pi R^3}$ is the probability per unit radius

for finding the nucleon if the density is constant

$\frac{d(\text{volume})}{\text{volume}}$

$\theta^2 =$ dimensionless constant < 1

$$\rho = kR = \frac{\mu v}{\hbar} R = \sqrt{\frac{2\mu E}{\hbar^2}} R$$

Semi-empirical Γ 's

Typically $\Gamma_\gamma \sim \text{eV}$ – larger for large ΔE in the transition; smaller if a large ΔJ is required or ΔE is small.

For nucleons and alpha particles it can be shown (Clayton 330 – 333) that

$$\Gamma'_j = \left(\frac{3\hbar^2}{\mu R^2} \right) \theta_j^2 \rho P_i = \frac{125.41 \text{ MeV}}{\hat{A} R^2 (\text{fm})} \theta_j^2 \rho P_i$$

where θ_j^2 is the "dimensionless reduced width" which must be evaluated experimentally, but is between 0 and 1 (typically 0.1).

The resulting widths are obviously very energy sensitive (via ρP_i) but for neutrons and protons not too much less than the Coulomb energy, they are typically keV to MeV.

Very approximate estimates for Γ

Typically $\Gamma_\gamma \sim \text{eV}$ – larger for large ΔE in the transition; smaller if a large ΔJ is required or ΔE is small.

For nucleons and alpha particles it can be shown (Clayton 330 – 333 and appendix to this lecture) that

$$\Gamma'_j = \left(\frac{3\hbar^2}{\mu R^2} \right) \theta_j^2 \rho P_i = \frac{125.41 \text{ MeV}}{\hat{A} R^2 (\text{fm})} \theta_j^2 \rho P_i$$

use this only in the absence of any experimental data

where θ_j^2 is the "dimensionless reduced width" which must be evaluated experimentally, but is between 0 and 1 (typically 0.1). See appendix to this lecture (last page)

The resulting widths are obviously very energy sensitive (via ρP_i) but for neutrons and protons not too much less than the Coulomb energy, they are typically keV to MeV.